## kantara_logo

Application for Assessor Accreditation

This application is the first item to complete as part of your process toward achieving or renewing assessor accreditation. This application is being provided to you in editable format so that you can download and complete it, submitting it along with the requisite materials noted below to secretariat@kantarainitiative.org. Any questions may also be submitted to this e-address as well for prompt response.

PLEASE NOTE: all of the information herein will remain confidential, as noted in the [Assessor Accreditation Handbook](https://kantarainitiative.org/download/9066/) (KIAF-1350). If you would like your application to be included in our “Registered Applicants”, please indicate accordingly below.

Application Date:

**1.** **Name of Applicant Organization:**

**2. Any ‘DBA’ aliases / informational url:**

**3. Name & position/office/role of person having the authority to represent the organization (hereafter to serve as the point of contact for the application process):**

**Name:**

**Title:**

**Postal Address:**

**Phone (include country and area code):**

**Email:**

1. **Second authoritative PoC, details as above:**

**Name:**

**Title:**

**Postal Address:**

**Phone (include country and area code):**

**Email:**

1. **Name & position/office/role of person as a service provision point of contact:**

**Name:**

**Title:**

**Postal Address:**

**Phone (include country and area code):**

**Email:**

1. **Second service provision PoC, details as above:**

**Name:**

**Title:**

**Postal Address:**

**Phone (include country and area code):**

**Email:**

**7. State all jurisdictions in which the service is offered, primary first – give country and state/region where applicable:**

|  |  |
| --- | --- |
|  |  |
|  | *extend as required* |

1. **Prior Qualifications (PQs) claimed (please check all that apply; for a review of definitions, please refer to the** [**Identity Assurance Framework: Required Assessor Knowledge and Skills (KIAF – 1610 v1.0)**](https://kantarainitiative.org/download/8628/)

**FPKI:** [ ]
please attach supporting documentation to support this assertion or provide URL of local doc(s):

**ISACA/CISA** [ ]
please attach supporting documentation to support this assertion or provide URL of local doc(s):

**IRCA** [ ]
please attach supporting documentation to support this assertion or provide URL of local doc(s):

**IS 17021** [ ]
please attach supporting documentation to support this assertion or provide URL of local doc(s):

**IS 27006** [ ]
please attach supporting documentation to support this assertion or provide URL of local doc(s):

**Other** [ ]
please attach supporting documentation to support this assertion or provide URL of local doc(s):

1. **Applicable Assurance Levels (please check all that apply):**

 **LoA1** [ ]  **LoA2**[ ]  **LoA3**[ ]  **LoA4** [ ]

**IAL2**  [ ]  **IAL3** [ ]

**AAL2** [ ]  **AAL3** [ ]

**FAL2**  [ ]  **FAL3** [ ]

1. **Statement of Conformity (applicants must refer to** [**Identity Assurance Framework: Required Assessor Knowledge and Skills (KIAF – 1610 v1.0)**](https://kantarainitiative.org/download/8628/) **for a complete mapping of the below categories):**

| **Clause** | **Description and subclauses** | **Evidence (please attach files with your submission or indicate upload address)** |
| --- | --- | --- |

|  |  |
| --- | --- |
| **Audit Organization (AO) Requirements**  |  |
| **Established Operational Status** |  |
| AO.1 | 1 | Legal Status |  |
| 2 | Liability |  |
| 3 | Documented Management Structure  |  |
| **Independence and Impartiality** |  |
| AO.2 | 1 | Documented Commitment |  |
| 2 | Acts to Preserve Impartiality |  |
| 3 | Documents Impartiality Review |  |
| **Management Responsibility & Liability** |  |
| AO.3 | 1 | Documented Policies and Procedures |  |
| **Openness/Defined Audit Process** |  |
| AO.4 | 1 | Document & Publish Audit Process |  |
| **Confidentiality** |  |
| AO.5 | 1 | Confidentiality Procedures |  |
| **Responsiveness to Complaints** |  |
| AO.6 | 1 | Complaint and Appeals Process |  |
| **Resources** |  |
| AO.7 | 1 | Qualified & Competent Personnel |  |
| 2 | Ensuring Competent Personnel |  |
| **Technical Competence** |  |
| AO.8 | 1 | Technical Competence |  |
|  **Auditor Qualification (AQ) Requirements** |  |
|  |  |
| **Personal Attributes** |  |
| AQ.1 | 1 | Personal attributes |  |
| **Technical Competence** |  |
| AQ.2 | 1 | Maintain Requisite Knowledge IT |  |
| 2 | Maintain Requisite Knowledge Legal |  |
| **Subject Matter-Specific Competence** |  |
| AQ.3 | 1 | Subject Matter-specific competence |  |
| **Education/Professional Qualification/Certification** |  |
| AQ.4 | 1 | Secondary Education and Certification |  |
| **Impartiality & Professional Competence** |  |
| AQ.5 | 1 | No Connection to Subject or Audience |  |
| **Experience** |  |
| AQ.6 | 1 | Min 20 days, 10 on-site over 36 months |  |
| **Audit Team (AT) Requirements** |  |
| **Collective Skills** |  |
| AT.1 | 1 | Professionals Collectively have Skills |  |
| **Lead Auditor Skills** |  |
| AT.2 | 1 | Min 15 days, 10 on-site over 24 months |  |
| 2 | Led by Individual - Knowledge Over All Areas |  |
| 3 | Capable of Audit Planning Scope |  |
| **Use of Subject Matter Experts** |  |
| AT.3 | 1 | Same Degree of Impartiality and Competence |  |
| **Audit Domain (AD) Requirements** |  |
|  |  |
| **Capability in the Information Security Audit Domain** |  |
| AD.1 | 1 |  Involvement and Areas of Expertise |  |

1. NOTE: The Assessor may receive requests from Credential Service Providers (CSPs) to assess against Profiles containing particular features, extensions, or other facet(s) that may not be clearly addressed in the SAC. Where appropriate, these features, extensions, or other facets may need such Profiles to provide assessment criteria to complete a review. To the extent that such Profiles are not approved, published, or supported by Kantara, the Assessor assumes all responsibility and liability for any such discussions, assessments, and results. The Kantara Initiative and its subsidiary bodies disclaim any and all liability for any such Profiles, their assessment by any Assessor, and any CSP requirement to use any such Profiles. The Assessor may not make any use of Kantara Initiative marks or make any representations on behalf of or about Kantara Initiative with respect to those Profiles.

**Please check here to acknowledge that you have read and accept this responsibility:**[ ]  **Yes, I accept this responsibility.** [ ]  **No, I do not accept the responsibility.**

1. **Please provide information about how you would like to be billed for your application fee (contact information, PO # if applicable, etc.):**
2. **Please check here to acknowledge that you accept the terms of the** [**Kantara Trademark License Agreement (TMLA)**](https://kantarainitiative.org/download/tmla-v3-1/) **v.3.1:** [ ]  **Yes** [ ]  **No**

**If no, please explain (expand space as needed or attach relevant feedback with your application):**

1. **Please check here if you’d like to include your organization’s name and primary contact info on the “Registered Applicants” list, which identifies those organizations that have applications in process:**

[ ]  **Yes** [ ]  **No**

Thank you for completing the Application for Kantara Accreditation. Email this application and all supporting documents to secretariat@kantarainitiative.org. The Secretariat will contact you to confirm the receipt of all of your completed information and inform you of the next steps.