



1 **eGov Profile**

2 **SAML 2.0**

3 **Version 1.5**

4 **Editor:**

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6 **Abstract:**

7 This document describes the eGovernment profile for SAML 2.0.

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## 30 Introduction

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### 31 Overview of eGov Profile

32 The eGov profile is a Liberty Alliance defined SAML 2.0 conformance specification for SP and IdP  
33 applications operating in approved eGovernment federations and deployments. The eGov profile is  
34 based on the SAML 2.0 specifications created by the Security Services Technical Committee  
35 (SSTC) of OASIS. It constrains the base SAML 2.0 features, elements, attributes and other values  
36 required for approved eGovernment federations and deployments. Unless otherwise specified,  
37 SAML operations and features follow those found in the OASIS SAML 2.0 specifications.

38 This eGov profile *does not* reflect which aspects of SAML the individual governments must utilize  
39 in their respective federations. Thus, it is not a deployment level profile. This eGov profile *does*  
40 reflect the SAML features that vendors must implement within their product offerings to satisfy SP  
41 and IdP functionality necessary to be conformant to this profile.

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## 76 **Draft History**

- 77 • Draft F
- 78 Added requirement on <SubjectConfirmationData> and changed SLO binding as “SOAP”  
79 rather than “SOAP Artifact”.
- 80 • Draft E
- 81 Removed “TEST” bullets added in Draft D.
- 82 • Draft D
- 83 Removed many requirements which were redundant to the base SAML requirements.  
84 Clarified other requirements. Removed the document defined key word “SUPPORT” and not  
85 only use RFC 2119 defined key words. Added “TEST” bullets stating how stated  
86 requirements are currently tested in the Liberty test plan and what new test specifications are  
87 needed.
- 88 • Draft C
- 89 Defined constrained conformance requirements for complying SPs and IdPs.
- 90 • Draft B
- 91 Based on initial feedback, this Draft placed requirements in align, nearly aligned and non-  
92 aligned groups to determine where the differences were in terms of expectations.
- 93 • Draft A
- 94 First attempt to reconcile requirements of US, New Zealand and Denmark governments.  
95 Utilized the “Comparison and Analysis of Government Web Browser SSO Profiles”  
96 document produced by Liberty eGov SIG.
- 97 • eGov Profile 1.0
- 98 The eGov Profile 1.0 follows the SAML 2.0 requirements for the General Service  
99 Administration (GSA) of the US Government. It was tested in the Liberty Alliance 2008  
100 SAML 2.0 IOP event.

101 **Key Words**

102 The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD",  
103 "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be  
104 interpreted as described in RFC 2119.

## 105 Conformance Requirements

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### 106 Web SSO

- 107 • SSO profile in [SAMLProf] MUST be supported by both SP and IdP with both capable of  
108 initiation. Unsolicited IdP <Response> messages MUST be supported.

### 109 IdP Discovery

- 110 • IdP Discovery MUST be supported.
- 111 • If a common domain cookie (CDC) exists the SP MUST SUPPORT functionality of  
112 presenting the user with a tailored list of compatible Identity Providers featuring, at a  
113 minimum, the compatible Identity Providers in the CDC.

### 114 SP Authentication Request

- 115 • MUST be communicated using HTTP Redirect binding.
- 116 • *isPassive* MUST be supported. It MAY be used when the IdP is not to take direct control. If  
117 *isPassive* is true, the Identity Provider and client MUST NOT take over the user interface.
- 118 • *ForceAuthn* MUST be supported. It MAY be used to require the IdP to force the end user to  
119 authenticate.
- 120 • <AuthnRequest> MUST be signed.
- 121 • <NameIDPolicy> MUST be supported and MUST SUPPORT formats of 'persistent',  
122 'transient' and 'unspecified'.
- 123 • <RequestedAuthnContext> MUST be supported. IdP MUST recognize *Comparison* field and  
124 evaluate the requested context classes.

### 125 IdP Authentication Response

- 126 • MUST be communicated using HTTP POST binding or SOAP Artifact binding.
- 127 • Assertion MUST be encrypted when using POST binding.
- 128 • The *Consent* attribute MUST be supported. The *Consent* values which MUST be supported,  
129 but not limited to, are:
  - 130 • urn:oasis:names:tc:SAML:2.0:consent:obtained
  - 131 • urn:oasis:names:tc:SAML:2.0:consent:prior
  - 132 • urn:oasis:names:tc:SAML:2.0:consent:current-implicit
  - 133 • urn:oasis:names:tc:SAML:2.0:consent:current-explicit
  - 134 • urn:oasis:names:tc:SAML:2.0:consent:unspecified

### 135 Assertion

- 136 • Assertion MUST be signed.

- 137 • MUST have one <AuthnStatement> present. SessionIndex parameter MUST be present and  
138 SessionNotOnOrAfter MUST NOT be present.
- 139 • MUST support <AttributeStatement> and MAY contain up to one <AttributeStatement>.
- 140 • MUST support NameFormat of <Attribute> values of “basic”, “uri” and “unspecified”.
- 141 • <AttributeStatement> MUST use <Attribute> and MUST NOT use <EncryptedAttribute>.
- 142 • The <SubjectConfirmationData> attributes *NotOnOrAfter* MUST be supported.
- 143 • The <Conditions> attributes *NotBefore* and *NotOnOrAfter* MUST be supported.
- 144 • The <Conditions> element <AudienceRestriction> MUST be supported.

### 145 **Single Logout**

- 146 • SP-initiated Single Logout and IdP-initiated Single Logout MUST be supported.
- 147 • Single Logout binding MAY be HTTP Redirect or SOAP.
- 148 • <LogoutRequest> MUST be signed.
- 149 • <LogoutResponse> MUST be signed.
- 150 • SP MUST offer user choice between local logout from SP only or SLO.
- 151 • User SHOULD confirm logout. If Single Logout is unsuccessful, user MUST be informed.

### 152 **Security**

- 153 • The minimum requirements for algorithm, key length and other security requirements are  
154 defined in Section 4 of [SAMLConf]. eGov applications and deployments MUST follow  
155 those minimum requirements.
- 156 • Utilization of a certificate authority and other security practices not defined in this profile are  
157 deployment decisions outside the scope of this profile.
- 158 • <AuthnRequest>, <SingleLogoutRequest> and <SingleLogoutResponse>  
159 messages SHOULD use HTTPS over SSL (v3.0 or higher) or TLS (v1.0 or higher) to  
160 establish a security context with the user agent (web browser) but earlier versions of SSL are  
161 permissible.

## 162 Metadata

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163 The choice of Metadata information is largely a deployment level decision. However, all conformant  
164 SP and IdP implementations MUST support the consumption and proper use of all Metadata  
165 elements, attributes and specifications listed in this section.

### 166 General Metadata

- 167 • SP and IdP SHOULD authenticate metadata before using it.
- 168 • The exchange of metadata is outside the scope of this profile.
- 169 • Signing of Metadata MUST be supported.
- 170 • MUST support root elements of <EntityDescriptor> or <EntitiesDescriptor>.
- 171 • <Organization> MUST be supported.
- 172 • Attributes *validUntil* AND *cacheDuration* MUST be supported.
- 173 • Certificates consumption and use in metadata MUST be supported.
- 174 • Certificate revocation methods of Online Certificate Status Protocol (OCSP), Certificate  
175 Revocation List (CRL), CRL Distribution Point (CDP) Extension MUST be supported.

### 176 <SPSSODescriptor>

- 177 • <KeyDescriptor> MUST be supported.
- 178 • <SingleLogOutService> MUST be supported.
- 179 • *WantAssertionSigned* MUST be supported.
- 180 • *AuthnRequestsSigned* MUST be supported.

### 181 <IDPSSODescriptor>

- 182 • <KeyDescriptor> MUST be supported.
- 183 • *WantAuthnRequestsSigned* MUST be supported.
- 184 • <SingleLogOutService> MUST be supported.
- 185 • <SingleSignInService> MUST be supported.

### 186 <AttributeAuthorityDescriptor>

- 187 • <AttributeAuthorityDescriptor> MUST be supported.



## 188 Considerations for Version 2.0

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189 This section is a “catch all” for pertinent issues that need to be addressed in the next version of the  
190 eGov profile. They are not required for adoption of eGov 1.5 profile. These bullet points exist as  
191 reminders and placeholders for future discussion.

- 192 ○ Some don't consider CDC approach to IdP discovery to be an effective model. Suggest  
193 putting on roadmap consideration for moving to other discovery service approach.
- 194 ○ On a deployment level, we had stated that optional metadata elements <RoleDescriptor>,  
195 <AuthnAuthorityDescriptor>, <PDFDescriptor>, <AffiliationDescriptor> and  
196 <AdditionalMetadataLocation> SHOULD NOT be used. However, it is not necessary or  
197 particularly wise to state for vendors that they are NOT to support certain elements.
- 198 ○ Metadata and Public Key Infrastructure (PKI) methods need to be better specified to  
199 insure interoperability.