



Liberty ID-WSF Security Mechanisms Core

Version: 2.0-errata-v1.0

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Abstract:

Specification from the Liberty Alliance Project Identity Web Services Framework for describing security mechanisms for authentication and authorization.

Filename: liberty-idwsf-security-mechanisms-core-2.0-errata-v1.0.pdf

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1. Introduction

90
91 This document specifies security mechanisms for identity-based web services. This includes mechanisms for authentication, integrity and confidentiality protection, and the means for sharing information necessary for authorization
92 decisions. The mechanisms build on accepted technologies including SSL/TLS, XML-Signature [[XMLDsig](#)] and
93 XML-Encryption [[xmlenc-core](#)], and SAML assertions. OASIS Web Services Security SOAP Message Security
94 [[wss-sms11](#)] compliant header elements are used for message level security, to communicate the relevant security information, for example using SAML [[SAMLCore11](#)] or [[SAMLCore2](#)] assertions, along with the protected message.
95 A separate SAML Security Mechanism profile is defined for the use of SAML security tokens in conjunction with this
96 core document [[LibertySecMech20SAML](#)].
97
98

2. Overview of Identity-Based Web Services Authentication and Authorization (Informative)

This document describes security mechanisms that may be used in conjunction with identity-based web services defined by the Liberty Alliance standards. An identity-based web service is a particular type of a web service that acts upon some resource to retrieve information about an identity, update information related to an identity, or perform some action for the benefit of some identity. A resource is either data related to some identity or a service acting for the benefit of some identity. Although this specification focuses on identity-based services, this does not imply that these mechanisms may not also be used with other web services or that identity and non-identity based web service requests may not be combined as needed by applications.

This specification assumes a model with the following parties: an invoker, a requester, a discovery service and a service provider. An invoker is a principal whose identity is related to requesting an identity-based service. A requester is a web services client that is making a service request. In many cases the requester is the same as the invoker, as in the case where a web service client makes a web service request related to its own identity. An example where the invoker is distinct from the requester is when a browser based client invokes an identity-based web service by delegating the request to a web service client. In this case this requester acts on behalf of the browser client. The service provider offers an identity-based web service and responses to web service requests. The Discovery Service provides a service endpoint reference and possibly security tokens to the requester to enable the requester to reach the service provider that offers the identity-based service.

In many cases, the requester directly interacts with the identity-based web service, and the identity-based web service implements both the authorization policy decision point (PDP) and policy enforcement point (PEP). Under these circumstances the authorization decision should be made according to the policies of the service provider and MAY be based on the identity of the invoker, the identity of the requester, the authentication context of the requester, the specific resource being accessed, and other information known to the provider. In order to make a request to the service provider, the requester may obtain a service endpoint reference from a Discovery Service. In this case the Discovery Service may also make an authorization decision, and refuse to provide a service endpoint reference for services that are not authorized by the Discovery Service.

In the case of delegation, the invoker may provide the requester with credentials that may be used in authorization decisions. In this case an authentication assertion for the invoker may be included in the service request, allowing the authorization decision at the service provider to be based not only on the identity of the service requester (the portal), but also the invoker (the browser client). Such an assertion may be obtained through a SAML 2.0 profile that enables authentication of the browser client to the service requester, or using a single sign-on service as outlined in the Liberty ID-WSF Authentication Service and Single Sign-On Specification.

To access an appropriate identity-based service, a web service requester must first obtain a service endpoint reference from a discovery service for the appropriate service provider. Which is appropriate is determined by the discovery service, which knows which services are available, and it authorizes the service requester to contact. The service endpoint reference may include the following:

- A list of allowed authentication mechanisms for interacting with the service provider. The service endpoint reference includes a list of authentication mechanism identifiers that each specify an allowed combination of peer and message level authentication. These identifiers are defined in this specification.
- Security token instances that the client may use to access the service provider. Such tokens may include authentication or authorization tokens provided by the discovery service.
- Additional information relevant to future authorization decisions, such as the path through proxies taken by the request so far. The discovery service may include such information in a security token, as described in this specification.

143 This specification also defines identity tokens, tokens that are used to convey additional identity information for a party
144 that is part of a transaction, but not necessarily the invoker and may not be present. The service provider may need to
145 make authorization decisions based on this additional information. An example is when Bob accesses a photo service
146 to access Alice's photos - Alice may not be present but her identity may need to be presented by Bob using an identity
147 token.

148 To summarize, access to an identity-based web service may be controlled at one or more points. One point is
149 the discovery service, which will only provide service endpoint references that are appropriate to the invoker and
150 requester. Another is at the service provider itself, which may also perform authorization decisions based on its
151 knowledge and the tokens presented to it with a request.

152 Material specific to specific tokens is in the Security Mechanism token profiles, in particular the SAML token profile
153 [[LibertySecMech20SAML](#)].

154 **3. Notation and Terminology**

155 This section specifies the notations, namespaces and terminology used throughout this specification. This specification
156 uses schema documents conforming to W3C XML Schema (see [[Schema1-2](#)]) and normative text to describe the
157 syntax and semantics of XML-encoded messages.

158 **3.1. Notational Conventions**

159 Note: Phrases and numbers in brackets [] refer to other documents; details of these references can be found in the
160 [References](#).

161 The key words "MUST," "MUST NOT," "REQUIRED," "SHALL," "SHALL NOT," "SHOULD," "SHOULD NOT,"
162 "RECOMMENDED," "MAY," and "OPTIONAL" in this document are to be interpreted as described in RFC 2119
163 [[RFC2119](#)].

164 These keywords are thus capitalized when used to unambiguously specify requirements over protocol and application
165 features and behavior that affect the interoperability and security of implementations. When these words are not
166 capitalized, they are meant in their natural-language sense.

167 **3.2. Namespace**

168 The following namespaces are referred to in this document:

169

Table 1. Namespaces

Prefix	Namespace
sec:	urn:liberty:security:2006-08 This namespace is used for Liberty ID-WSF 2.0 Security Mechanisms.
sb:	urn:liberty:sb:2006-08 This namespace represents the Liberty SOAP Binding namespace (v2.0). It is defined in the Liberty SOAP Binding document, v2.0 [LibertySOAPBinding].
disco:	urn:liberty:disco:2006-08 This namespace represents the Liberty discovery service. It is defined in [LibertyDisco].
saml:	urn:oasis:names:tc:SAML:1.0:assertion This namespace represents SAML 1.0 assertions. It is defined in [SAMLCore11].
saml2:	urn:oasis:names:tc:SAML:2.0:assertion The prefix saml2: stands for the SAML v2 assertion namespace. It is defined in [SAMLCore2].
samlp2:	urn:oasis:names:tc:SAML:2.0:protocol The prefix samlp2: stands for the SAML v2 protocol namespace. It is defined in [SAMLCore2].
S:	http://www.w3.org/2002/12/soap-envelope This namespace represents the SOAP 1.2 namespace. It is defined in [SOAPv1.2].
ds:	http://www.w3.org/2000/09/xmldsig# This namespace represents the XML Signature namespace. It is defined in [XMLDsig].
xenc:	http://www.w3.org/2001/04/xmlenc# This namespace represents the XML Encryption namespace. It is defined in [xmlenc-core].
wsa:	http://www.w3.org/2005/08/addressing This namespace represents the WS-Addressing namespace. It is defined in [WSAv1.0].
wsse:	http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-secext-1.0.xsd This namespace represents the SOAP Message Security namespace. It is defined in [wss-sms11].
wsse11:	http://docs.oasis-open.org/wss/2005/xx/oasis-2005xx-wss-wssecurity-secext-1.1.xsd This namespace represents the SOAP Message Security v1.1 namespace. It is defined in [wss-sms11].
wsu:	http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-utility-1.0.xsd This namespace represents the SOAP Message Security Utility namespace. It is defined in [wss-sms11].
xs:	http://www.w3.org/2001/XMLSchema This namespace represents the W3C XML schema namespace. It is defined in [Schema1-2].
xsi:	http://www.w3.org/2001/XMLSchema-instance This namespace represents the XML Schema instance namespace. It is defined in [Schema1-2].

170 This specification uses the following typographical conventions in text:

171 • Elements and attributes: <Element>

172 • Data types: A **datatype**

173 • Constants: A *constant*

174 • Code:

175 <saml2:AuthnStatement...>

176 For readability, when an XML Schema type is specified to be xs:boolean, this document discusses the values as true
177 and false rather than "1" and "0."

178 **3.3. Terminology**

179 Definitions for Liberty-specific terms can be found in [[LibertyGlossary](#)].

180 The following terms are defined below as an aid in understanding the participants in the message exchanges

181 • Recipient – entity which receives a message that is the ultimate processor of the message

182 • Sender – the initial SOAP sender. A sender is a proxy when its identity differs from the invocation identity.

183 • Proxy – entity whose authenticated identity, according to the recipient, differs from that of the entity making the
184 invocation.

185 • Trusted Authority – a Trusted Third Party (TTP) that issues, and vouches for, SAML assertions

186 • Invocation Identity – party invoking a service.

187 • Service – invocation responder, providing a service. Ultimate message processor.

188 4. Security Requirements (Informative)

189 This section details the security requirements that this specification must support. This section first presents use case
190 scenarios envisioned for identity-based web services. We then follow-up the discussion with the requirements derived
191 from the usage scenarios.

192 4.1. Security Requirements Overview

193 There are multiple facets this security specification considers:

- 194 • Authentication of the sender
- 195 • When the sender is not the invocation identity, the proxy rights for sender to make a request on behalf of invocation
196 identity
- 197 • Authentication of the response
- 198 • Authentication context and session status of the interacting entity
- 199 • Authorization of invocation identity to access service or resource

200 Note that the authorization mechanism draws a distinction between the invocation identity and the identity of the
201 initial SOAP sender making a request to the identity web service. These two identities are referred to as the *invocation*
202 *identity* and the *sender identity*, respectively. In effect, this enables a constrained proxy authorization model.

203 The importance of the distinction between invocation and sender identity lies in the service's access control policies
204 whereby the service's decision to grant or deny access may be based on either or both identities. The degenerate case
205 is where the invocation identity is the same as the sender identity, in which case no distinction need be made.

206 Note that a browser-based user agent interacting with some service provider does not necessarily imply that the service
207 provider will use the user identity as the invocation identity. In some cases, the identity of the service provider may
208 still be used for invocation.

209 The above scenarios suggest a number of requirements in order to secure the exchange of information between
210 participants of the protocol. The following list summarizes the security requirements:

- 211 • Request Authentication
- 212 • Response Authentication
- 213 • Request/Response Correlation
- 214 • Replay Protection
- 215 • Integrity Protection
- 216 • Confidentiality Protection
- 217 • Privacy Protections
- 218 • Resource Access Authorization
- 219 • Proxy Authorization
- 220 • Mitigation of denial of service attack risks

4.2. Common Requirements

The following apply to all mechanisms in this specification, unless specifically noted by the individual mechanism.

- Messages may need to be kept confidential and inhibit unauthorized disclosure, either when in transit or when stored persistently. Confidentiality may apply to the entire message, selected headers, payload, or XML portions depending on application requirements.
- Messages may need to arrive at the intended recipient with data integrity. SOAP intermediaries may be authorized to make changes, but no unauthorized changes should be possible without detection. Integrity requirements may apply to the entire message, selected headers, payload, or XML portions depending on application requirements.
- The authentication of a message sender and/or initial sender may be required by a receiver to process the message. Likewise, a sender may require authentication of the response.
- Protection against replay or substitution attacks on requests and/or responses may be needed.
- The privacy requirements of the participants with respect to how their information is shared or correlated must be met.

4.3. Peer Authentication Requirements

The security mechanisms supported by this framework must allow for active and passive intermediaries to participate in the message exchange between end entities. In some circumstances it is necessary to authenticate all active participants in a message exchange.

Under certain conditions, two separate identities must be authenticated for a given request: the *invocation identity* and the *sender identity*. The degenerate case is where the identity of the message sender is to be treated as the invocation identity, and thus, no distinction between invocation identity and sender identity is required. In support of this scenario the candidate mechanism to convey identity information is client-side X.509 v3 certificates based authentication over a SSL 3.0 (see [SSL]) or TLS (see [RFC4346]) connection. Generally, this protocol framework may rely upon the authentication mechanism of the underlying transfer or transport protocol binding to convey the identity of the communicating peers.

However for scenarios where the sender's messages are passing through one or more intermediaries, the sender must explicitly convey its identity to the recipient by using a Web Services Security (WS-Security) token profile which specifies processing semantics in support of Proof-of-Possession. For example, the Web Services Security SAML Token Profile defines Proof-of-Possession processing semantics [wss-saml11]. Other possible bindings include Kerberos where the session key is used to sign the request.

4.4. Message Correlation Requirements

The messages exchanged between participants of the protocol MAY require assurance that a response correlates to its request. This may require integrity protection.

4.5. Privacy Requirements

Adequate privacy protections must be assured so as to inhibit the unauthorized disclosure of personally identifiable information. In addition, controls must be established so that personally identifiable information is not shared without user notification and consent and so that applicable privacy regulations are followed. This may require prescriptive steps to prevent collusion among participants in an identity network.

4.6. Service Availability Requirements

259 The system must maintain availability, requiring the implementation of techniques to prevent or reduce the risk of
260 attacks to deny or degrade service.

261 **4.7. Resource Access Authorization Requirements**

262 Previously we mentioned the notion of conveying both a *sender identity* and an *invocation identity*. In doing so
263 the framework accommodates a restricted proxy capability whereby a provider of an identity-based web service (the
264 intermediate system entity or proxy) can act on behalf of another system entity (the subject) to access an identity-based
265 web service (the recipient). To be granted the right to proxy for a subject, the intermediate system entity may need
266 to interact with a trusted authority. Based on the authority's access control policies, the authority may generate and
267 return an assertion authorizing the provider to act on behalf of the subject to the recipient. This protocol framework
268 can only convey authoritative information regarding the identities communicated to other system entities. Even with
269 the involvement of a trusted authority that makes authorization decisions permitting a provider to access a web service
270 on behalf of another party, the final service provider should still implement a policy enforcement point.

5. Confidentiality and Privacy Mechanisms

Some of the service interactions described in this specification include the conveyance of information that is only known by a trusted authority and the eventual recipient of a resource access request. This section specifies the schema and measures to be employed to attain the necessary confidentiality and privacy controls.

5.1. Transport Layer Channel Protection

When communicating peers interact directly (i.e., no active intermediaries in the message path) then transport layer protection mechanisms may suffice to ensure the integrity and confidentiality of the message exchange.

- Messages between sender and recipient **MUST** have their integrity protected and confidentiality **MUST** be ensured. This requirement **MUST** be met with suitable SSL/TLS cipher suites. The security of the SSL or TLS session depends on the chosen cipher suite. An entity that terminates an SSL or TLS connection needs to offer (or accept) suitable cipher suites during the handshake. The following list of TLS 1.0 cipher suites (or their SSL 3.0 equivalent) is **RECOMMENDED**.

- TLS_RSA_WITH_RC4_128_SHA
- TLS_RSA_WITH_3DES_EDE_CBC_SHA
- TLS_DHE_DSS_WITH_3DES_EDE_CBC_SHA

The above list is not exhaustive. The recommended cipher suites are among the most commonly used. New cipher suites using the Advanced Encryption Standard have been standardized by the IETF [RFC3268] and are just beginning to appear in TLS implementations. It is anticipated that these AES-based cipher suites will be widely adopted and deployed.

- TLS_RSA_WITH_AES_CBC_SHA
- TLS_DHE_DSS_WITH_AES_CBC_SHA

For signing and verification of protocol messages, communicating entities **SHOULD** use certificates and private keys that are distinct from the certificates and private keys applied for SSL or TLS channel protection.

- Other security protocols (e.g., Kerberos, IPSEC) **MAY** be used as long as they implement equivalent security measures.

5.2. Message Confidentiality Protection

In the presence of intermediaries, communicating peers **MUST** ensure that sensitive information is not disclosed to unauthorized entities. To fulfill this requirement, peers **MUST** use the confidentiality mechanisms specified in [wss-sms11] to encrypt the SOAP envelope <S:Body> content.

Please note that this mechanism does not fully address the privacy and confidentiality requirements of information supplied by a trusted authority which is subsequently carried in the <S:Header> which is not to be revealed to the entity interacting with the recipient. For example the authorization data may contain sensitive information. To accommodate this requirement the trusted authority and ultimate recipient **SHOULD** rely upon the mechanisms specified in [Encrypted Name Identifiers \(Section 5.3.1\)](#).

5.3. Identifier Privacy Protection

Under certain usage scenarios the information conveyed by the Trusted Authority for consumption by the identity-based web service may contain privacy sensitive data. However, this data generally passes through the system entity accessing the particular identity-based web service. One example is the name identifier from the federated namespace of the authority and the identity-based web service. Another sensitive data item may be the target identity header, which may have message level encryption applied for confidentiality (SOAP Message Security encryption).

311 **5.3.1. Encrypted Name Identifiers**

312 The identifier conveyed in the subject **MUST** be resolvable in the namespace of the consuming service instance.
313 However, this requirement is in conflict with the need to protect the privacy of the identifier when the message passes
314 through intermediaries.

315 The Security Mechanisms SAML profile describes how to accomplish this.

6. Authentication and Integrity Mechanisms

This specification defines a set of authentication and integrity mechanisms, labeled by URIs, to support various security requirements. Multiple mechanisms are specified accommodate various deployment scenarios. Authentication may be performed at different protocol layers, or in combination, resulting in different properties. In addition, different mechanisms may be used at each layer. The two authentication layers that are specified in this document include:

- Peer Entity Authentication
- Message Authentication

These mechanisms may provide integrity, confidentiality and authentication, but the peer mechanism does not provide end to end integrity or confidentiality in the presence of SOAP intermediaries.

In each case the URN is constructed in a manner to summarize various information about the mechanism, similar in concept to SSL/TLS CipherSuites. In particular, the URN is created as follows: urn:liberty:security:DATE:PEER:MESSAGE The DATE is associated with one or more versions of ID-WSF, and is defined in the form *yyyy-mm*. PEER indicates the kind of peer authentication in effect (if any), and MESSAGE indicates the form of message authentication (if any).

For either of the PEER or MESSAGE properties a value of "null" indicates that the particular security property is not required by the mechanism.

The following DATE values have been defined:

Table 2. Authentication Mechanism Versions

DATE	ID-WSF version
2003-08	ID-WSF 1.0
2004-04	ID-WSF 1.0 Errata
2005-02	ID-WSF 1.1
2006-08	ID-WSF 2.0

New version URNs are only defined if necessary, otherwise earlier URNs should be used. Thus for given functionality, the latest version URN should be used appropriate for the ID-WSF release.

The following PEER mechanisms have been defined:

Table 3. Peer Authentication Mechanisms

PEER	Mechanism
<i>null</i>	None
<i>TLS</i>	Peer recipient (SSL/TLS server) authentication
<i>ClientTLS</i>	Mutual Peer authentication

For the peer entity authentication property, the qualifier indirectly indicates which actor(s) is authenticated in a given interaction.

The following MESSAGE mechanisms have been defined:

341

Table 4. Message Authentication Mechanisms

MESSAGE	Mechanism
<i>null</i>	None
<i>SAML</i>	Use of SAML 1.x assertions in conjunction with SOAP Message Security, as outlined in earlier versions of the Security Mechanisms specification.
<i>SAMLV2</i>	Use of SAML 2.0 assertions in conjunction with SOAP Message Security, as outlined in the Security Mechanisms SAML profile.
<i>X509</i>	SOAP Message Security X509 Token Profile invoker authentication
<i>Bearer</i>	Bearer token invoker authentication
<i>peerSAMLV2</i>	Use of SAML 2.0 assertions in conjunction with SOAP Message Security, with a PEER layer key as the confirmation key, for example the client SSL/TLS key. This mechanism is intended to be used when the message is not signed.

342 The MESSAGE authentication qualifier describes the security profile utilized to secure the message. Note that not
 343 all message layer authentication mechanisms require the token to be cryptographically bound to the message at the
 344 message layer. Bearer tokens, specifically, do not require the token to be bound to the message.

345 When SAML assertions are used for the SAMLV2, peerSAMLV2 or Bearer MESSAGE mechanisms, the following
 346 SAML 2.0 Confirmation Method attribute values correspond to the Security Mechanism identifiers:

347

Table 5. Confirmation Methods for Mechanisms using SAML 2.0

MESSAGE	SAML 2.0 Confirmation Method
<i>SAMLV2</i>	urn:oasis:names:tc:SAML:2.0:cm:holder-of-key
<i>Bearer</i>	urn:oasis:names:tc:SAML:2.0:cm:bearer
<i>peerSAMLV2</i>	urn:oasis:names:tc:SAML:2.0:cm:holder-of-key

348 The following table summarizes the authentication mechanism identifiers defined as of the publication of this
 349 specification. Specifically, [SAMLCore11] based identifiers were defined in previous versions of this specification
 350 [LibertySecMech11] and [LibertySecMech12].

351

Table 6. Authentication Mechanisms

Mechanism	Peer Entity	Message
urn:liberty:security:2003-08:null:null	No	No
urn:liberty:security:2005-02:null:X509	No	Yes
urn:liberty:security:2005-02:null:SAML	No	Yes
urn:liberty:security:2006-08:null:SAMLV2	No	Yes
urn:liberty:security:2005-02:null:Bearer	No	Yes ¹
urn:liberty:security:2003-08:TLS:null	Recipient	No
urn:liberty:security:2005-02:TLS:X509	Recipient	Yes
urn:liberty:security:2005-02:TLS:SAML	Recipient	Yes
urn:liberty:security:2006-08:TLS:SAMLV2	Recipient	Yes
urn:liberty:security:2005-02:TLS:Bearer	Recipient	Yes ²
urn:liberty:security:2003-08:ClientTLS:null	Mutual	No
urn:liberty:security:2005-02:ClientTLS:X509	Mutual	Yes
urn:liberty:security:2005-02:ClientTLS:SAML	Mutual	Yes
urn:liberty:security:2006-08:ClientTLS:SAMLV2	Mutual	Yes
urn:liberty:security:2005-02:ClientTLS:Bearer	Mutual	Yes ²
urn:liberty:security:2006-08:ClientTLS:peerSAMLV2	Mutual	Yes ³

352 ¹ The bearer token is not bound to the message and is not protected by the TLS mechanism in this case.

353 ² The bearer token is not bound to the message at the SOAP Message layer. It is integrity and confidentiality protected by TLS for a single TLS
354 link, assuming correct ciphersuite use, but not protected end-end if the SOAP message traverses SOAP intermediaries.

355 ³ The SSL/TLS client key is also the message confirmation key in this case. This means the key need not be expected within the SOAP message
356 conveyed as part of SOAP Message security when this Security Mechanism is specified and used.

357 6.1. Authentication Mechanism Overview (Informative)

358 The above table depicts the various authentication mechanism identifiers and the authentication properties they exhibit.
359 A description of the setting in which a particular mechanism should be deployed is out of scope for this specification.
360 However, this section describes the characteristics of the class of mechanism and general circumstances whereby the
361 deployment of a given mechanism may be appropriate.

362 The identifier, *urn:liberty:security:2003-08:null:null*, does not exhibit any security properties and is defined here for
363 completeness. However one can envision a deployment setting in which access to a resource does not require rigor in
364 authenticating the entities involved in an interaction. For example, this might apply to a weather reporting service.

365 The peer entity authentication mechanisms defined by this specification leverage the authentication features supplied
366 by SSL 3.0 [SSL] or TLS [RFC4346]. The mechanism identifier describes whether the recipient ("TLS") is unilaterally
367 authenticated or whether each communicating peer ("ClientTLS") is mutually authenticated to the other peer. The peer
368 entity authentication mechanisms (Section 6.2) are best suited for direct message exchanges between end systems and
369 when the message exchange may be sufficiently trusted to not require additional attestation of the message payload.
370 However this does not obviate the processing of subject confirmation obligations but rather enables alternative and
371 potentially optimized processing rules. Such optimizations are a matter of security policy as it applies to the trust
372 model in place between communicating entities.

373 The message authentication mechanisms indicate which attestation profile is utilized to ensure the authenticity of a
374 message. These message authentication facilities aid the deployer in the presence of intermediaries. The different
375 message authentication mechanisms are suited (but not necessarily restricted) to different authorization models:

- 376 • The X.509 v3 Certificate mechanism ([Section 6.4](#)) is suited for message exchanges that generally rely upon
377 message authentication as the principle factor in allowing the recipient to make authorization decisions.
- 378 • The SAML Assertion mechanism (See the SechMech SAML profile [[LibertySecMech20SAML](#)]) is suited for
379 message exchanges that generally rely upon message authentication as well as the conveyance and attestation of
380 authorization information in order to allow the recipient to make authorization decisions.
- 381 • The Bearer mechanism ([Section 6.5](#)) is used to convey the authenticated identity of an invoker with a message.
382 The bearer token need not be bound to the message with a signature.

383 Each operational setting has its own security and trust requirements and in some settings the issuance of bearer tokens
384 by a security token service, such as [[LibertyDisco](#)] may greatly simplify the sender's processing obligations. For
385 example, when the Discovery service indicates that a bearer mechanism is supported and issues a bearer token, the
386 sender can simply populate the security header with the token and send the request. However this does not necessarily
387 obviate the requirement for the recipient to process and verify the bearer token. Such an optimization is a matter of
388 security policy as it applies to the trust model in place between the communicating entities.

389 Not all peer entity authentication and message authentication combinations make sense in a given setting. Again this
390 is a matter of security policy and the trust model policy accords. For example, in a conventional setting where peer
391 entity authentication is relied upon to ensure the authenticity, confidentiality and integrity of the transport in con-
392 junction with message authentication to assure message authorship, intent and retention of the act of attestation then
393 the mechanism *urn:liberty:security:2005-02:ClientTLS:X509* is relevant. However, such a combination may make
394 little sense when peer entity authentication is relied upon to imply message authentication. For example, the mecha-
395 nism *urn:liberty:security:2005-02:ClientTLS:X509* seems equivalent to *urn:liberty:security:2003-08:ClientTLS:null*
396 in such a setting. A similar argument can be made for the SAML mechanisms (*urn:liberty:security:2005-
397 02:ClientTLS:SAML* or *urn:liberty:security:2006-08:ClientTLS:SAMLV2*). The relationship between the identity
398 authenticated as a result of peer entity authentication and the identity authenticated (or implied) from message au-
399 thentication may diverge and describe two distinct system entities for example, a system principal and a user principal
400 respectively. The identities may also be required to reflect the same system entities. This is a matter of deployment
401 and operational policy and is out of scope for this specification.

402 **6.2. Peer Entity Authentication and Integrity**

403 The Peer entity authentication mechanisms supported by this specification all rely upon the inherent security properties
404 of the TLS/SSL protocol (sometimes referred to as transport-level security); the different mechanisms are differentiated
405 by how the peers are authenticated. The mechanisms described below have distinct security properties regarding which
406 peers in a message exchange are authenticated. SSL/TLS transport level security is designed to provide integrity
407 protection in conjunction with authentication. Note that peer authentication may not provide adequate integrity,
408 confidentiality or authentication when SOAP intermediaries are part of the message path and end-to-end security is
409 required. In this case Message level security may be used in place of, or in conjunction with peer entity authentication,
410 as appropriate.

411 For the mechanisms that include both peer entity authentication and message authentication, optimizations regarding
412 attestation MAY be employed. For example, in environments where there is no requirement that a signature attesting
413 to the authenticity of the message be retained, then it may be sufficient to rely upon the security properties of peer
414 entity authentication to assure the integrity and authenticity of the message payload with no additional message layer
415 signature.

416 **6.2.1. Unilateral Peer Entity Authentication**

417 The semantics and processing rules for mechanisms with PEER having the value of TLS are described in this section.
418 These URIs support unilateral (recipient) peer entity authentication and are of the form: *urn:liberty:security:2003-*
419 *08:TLS:MESSAGE* where MESSAGE may vary depending on the message authentication mechanism deployed (e.g.,
420 may be null, X509 etc).

421 The primary function of the TLS mechanism is to provide for the authentication of the receiving entity and to leverage
422 confidentiality and integrity features at the transport layer.

423 **6.2.1.1. Processing Rules**

424 These mechanisms MUST implement TLS/SSL end entity authentication in accordance with the TLS/SSL specifica-
425 tions and employing a cipher suite based on X.509 certificates, requiring the following:

- 426 • The sender MUST authenticate the recipient.
- 427 • The recipient MUST authenticate using X.509 v3 certificates by demonstrating possession of the key bound to its
428 certificate in accordance with the processing rules and semantics of the TLS/SSL protocol.
- 429 • Statements about CipherSuites are provided in [Channel Protection \(Section 5.1\)](#).

430 **6.2.2. Mutual Peer Entity Authentication**

431 The semantics and processing rules for mechanisms with PEER having the value of ClientTLS are described in
432 this section. These URIs support mutual (sender and recipient) peer entity authentication and are of the form:
433 *urn:liberty:security:2003-08:ClientTLS:MESSAGE* where MESSAGE may vary depending on the message authenti-
434 cation mechanism deployed (e.g., may be null, X509 etc).

435 The primary function of these mechanisms is to provide for the mutual authentication of the communicating peers and
436 to leverage confidentiality and integrity features at the transport layer.

437 As noted in the previous section on unilateral message authentication, bearer mechanisms do not necessarily provide
438 message authentication and for this reason may be used in conjunction with mechanisms that do provide message
439 authentication. In this case the bearer token MUST be used to determine the invoker identity for authorization
440 decisions.

441 **6.2.2.1. Processing Rules**

442 These mechanisms MUST implement TLS/SSL end entity authentication in accordance with the TLS/SSL specifica-
443 tions and employing a cipher suite based on X.509 certificates, requiring the following

- 444 • The sender MUST authenticate the recipient AND the recipient MUST authenticate the sender.
- 445 • The recipient MUST authenticate using X.509 v3 certificates by demonstrating possession of the key bound to its
446 certificate in accordance with the processing rules and semantics of the TLS/SSL protocol.
- 447 • The sender MUST authenticate using X.509 v3 certificates by demonstrating possession of the key bound to its
448 certificate in accordance with the processing rules and semantics of the TLS/SSL protocol.

449 Note that these X.509 certificates are those associated with SSL/TLS, and not necessarily associated with the WSS
450 X.509 token profile.

451 **6.3. Message Authentication and Integrity**

452 The non-null message authentication mechanisms prescribed by this specification generally rely upon the integrity
453 properties obtained by using the OASIS standard SOAP Message Security mechanism in conjunction with a specified
454 OASIS standard token profile. These mechanisms generally rely on the use of XML Signature technology as profiled
455 by the OASIS specifications.

456 Message authentication mechanisms have distinct security properties regarding authenticity of a given message. For
457 the mechanisms that include both peer entity authentication and message authentication, optimizations regarding
458 attestation MAY be employed. For example, in environments where there is no requirement that a signature attesting
459 to the authenticity of the message be retained, then it may be sufficient to rely upon the security properties of peer
460 entity authentication to assure the integrity and authenticity of the message payload with no additional message layer
461 signature.

462 The processing rules and requirements apply to all mechanisms used for Message Authentication where the token is
463 bound to the message (i.e., this section does not apply to bearer tokens when they are not bound to the message).
464 Additional requirements and processing rules may apply to a token as described for that specific token type, either in
465 this specification or in a SecMech profile.

466 The message authentication mechanisms described in SecMech and its profiles are unilateral. That is, only the sender
467 of the message is authenticated. It is not in the scope of this specification to suggest when response messages
468 should be authenticated, but it is worth noting that the WSS X.509 mechanisms defined in [Section 6.4](#) could be
469 relied upon to authenticate any response message as well. Deployers should recognize, however, that independent
470 authentication of response messages does not provide the same message stream protection semantics as a mutual peer
471 entity authentication mechanism.

472 **6.3.1. Token Container**

473 A token container type is defined to provide a uniform means to convey tokens, and allows a Web Services Security
474 token to be directly contained in the container, or to be referenced from the container. A reference may be an external
475 reference to a token or a reference to another local token container.

476 The token container type (`TokenType`) may be used to define elements in the ID-WSF namespace, and has also been
477 used to define a `<Token>` element in the security mechanisms namespace. This `<sec:Token>` element may be used
478 in a number of ID-WSF 2.0 schema definitions, such as:

- 479 • The security context container type used in the Discovery Service to profile EPRs,
- 480 • The mapping input and output types for the Identity Mapping Service, and
- 481 • The `AddKnownEntityType` for the People Service.

482 The following schema fragment describes the `TokenType` type and the corresponding `<Token>` element:

```
483
484 <!--
485 TokenType can refer to an external token using the ref attribute (no
486 element content) or contain a Web Services Security token, or a WSS
487 Security Token Reference (STR) element
488 -->
489
490 <xs:complexType name="TokenType">
491   <xs:sequence>
492     <xs:any namespace="##any" processContents="lax"
493       minOccurs="0" maxOccurs="unbounded" />
494   </xs:sequence>
495   <xs:attribute name="id" type="xs:ID" use="optional" />
496   <xs:attribute name="ref" type="xs:anyURI" use="optional" />
497   <xs:attribute name="usage" type="xs:anyURI" use="optional" />
498 </xs:complexType>
499
500 <xs:element name="Token" type="sec:TokenType" />
501
502
```

503 This specification defines the following URN values for the `usage` attribute (others may be defined elsewhere):

- 504 • `urn:liberty:security:tokenusage:2006-08:TargetIdentity`
- 505 • `urn:liberty:security:tokenusage:2006-08:SecurityToken`

506 These two URNs are used when the token is contained in an EPR to be used to create a SOAP header by the Discovery
507 Service. The `TargetIdentity` usage indicates that the token should be used to create an `<sb:TargetIdentity>` header
508 block. Any token with the `SecurityToken` usage in an EPR is placed in a `<wsse:Security>` header block.

509 The following examples demonstrate the use of the `<Token>` element and the `TokenType` type:

- 510 • Token carrying a saml assertion:

```
511   <Token id="x123" >
512     <saml2:Assertion id="x345" ...>
513       ...
514     </saml2:Assertion>
515   </Token>
516
517
```

- 518 • Token referring to a Web Service Security token, either somewhere else in a message (local) or to an external
519 token:

```
520   <Token id="local-reference1" ref="#123" />
521   ...
522   <Token id="external-reference1" ref="http://somehost/gettoken" />
523
524
```

525 When an element of token container type (e.g., a `<Token>` element) references a `<Token>` element the reference
526 MUST be to the `<Token>` element itself.

- Token carrying a Web Service Security security token reference (wsse:SecurityTokenReference) for an external token.

A security token reference MUST only be used within an element of TokenType when that element is to be transmitted to a party as part of a web service message, and where that party will dereference the STR to locate the security token. A security token reference MUST only be an external reference.

This reference would be used to support an "artifact"-like model, where the discovery service returns the STR in the EPR and which the WSC places the STR (without dereference) into the security header of the message to the WSP.

```
<Token id="x678" >
  <wsse:SecurityTokenReference wsu:ID="x789"
    wsse:TokenType="http://...#SAMLV2.0" >
    <wsse:Reference URI="https://...?ID=x2323" />
  </wsse:SecurityTokenReference>
</Token>
```

6.3.2. Message Integrity rules for senders and receivers

This section only applies if SOAP message security is used for a message bound to SOAP (i.e., is a "SOAP-bound-ID-* message") according to the Liberty SOAP Binding (v2.0) [LibertySOAPBinding].

In this case the sender MUST create a single <ds:Signature> contained in the <wsse:Security> header and this signature MUST reference all of the message components required to be signed.

In particular, this signature MUST reference the SOAP Body element (the element itself), the security token associated with the signature, and all headers in the message that have been defined in the Liberty SOAP Bindings specification, including both required and optional header blocks [LibertySOAPBinding].

An example security token is a <saml2:Assertion> element conveyed in the <wsse:Security> header.

The wsu:Timestamp header in the wsse:Security header block, the wsu:MessageID, wsu:RelatesTo, sb:Framework, sb:Sender and sb:InvocationIdentity header blocks are examples of header elements that would be referenced in a signature.

Note that care must be taken when constructing elements contained in Reference Parameters in Endpoint References, as these will be promoted to SOAP header blocks. Effort should be taken to avoid conflicting or duplicate id attributes, for example by using techniques to generate ids where it is highly likely that they are unique.

If the message is signed the sender MUST include the resultant XML signature in a <ds:Signature> element as a child of the <wsse:Security> header.

The <ds:Signature> element MUST refer to the subject confirmation key with a <ds:KeyInfo> element. The <ds:KeyInfo> element MUST include a <wsse:SecurityTokenReference> element so that the subject confirmation key can be located within the <wsse:Security> header. The inclusion of the reference SHOULD adhere to the guidance specified in section 3.4.2 of [wss-saml11] (section 3.3.2 of [wss-saml]).

6.3.3. Common Sender Processing Rules

- The construction and decoration of the <wsse:Security> header element MUST adhere to the rules specified in the [wss-sms11].
- The <wsse:Security> header element MUST have a mustUnderstand attribute with logical value true.

568 • The sender MUST place the message authentication security token as a direct child of the `<wsse:Security>`
569 element.

570 • The sender MUST follow the message integrity rules outlined in the previous section [Message Integrity rules for](#)
571 senders and receivers ([Section 6.3.2](#)) when message authentication mechanisms are used.

572 The following considerations do not apply to Bearer tokens:

573 • For deployment settings which REQUIRE independent message authentication, the obligation MUST be accom-
574 plished by signing the message body and portions of the header and placing the `<ds:Signature>` as a direct
575 child of the `<wsse:Security>` header.

576 For deployment settings which DO NOT REQUIRE independent message authentication then the subject confirma-
577 tion obligation may be accomplished by correlating the certificate and key used to affect peer entity authentication
578 with the certificate and key described by the message authentication token. To accommodate this, the assertion
579 issuing authority MUST construct the assertion such that the confirmation key can be unambiguously verified to
580 be the same certificate and key used in establishing peer entity authentication. This is necessary to mitigate the
581 threat of a certificate substitution attack. It is RECOMMENDED that the certificate or certificate chain be bound
582 to the subject confirmation key.

583 6.3.4. Common Recipient Processing Rules

584 • The recipient MUST locate the `<wsse:Security>` element for which it is the target. This MUST adhere to the
585 rules specified in WSS [[wss-sms11](#)] and the applicable WSS token profiles (e.g., [[wss-saml](#)] for SAML tokens).

586 • The `<wsse:Security>` header element MUST have a `mustUnderstand` attribute with logical value `true` and
587 the recipient must be able to process this header block according to WSS [[wss-sms11](#)] and the appropriate WSS
588 token profiles (e.g., for SAML the SAML token profile [[wss-saml](#)]).

589 • The recipient MUST locate the security token and the recipient MUST determine that it trusts the authority which
590 issued the token.

591 The recipient MUST validate the issuer's signature over the token. This validation MUST conform to the core
592 validation rules described in [[XMLDsig](#)]. The recipient SHOULD validate the trust semantics of the signing key,
593 as appropriate to the risk of incorrect authentication.

594 • If the message has been signed then the recipient MUST locate the `<ds:Signature>` element carried inside the
595 `<wsse:Security>` header.

596 Unless the security mechanism is `peerSAMLV2` the recipient MUST resolve the contents of the `<ds:KeyInfo>`
597 element carried within the `<ds:Signature>` and use the key it describes for validating the signed elements. When
598 the security mechanism is `peerSAMLV2` the key is the client key used in SSL/TLS client authentication.

599 • The sender MUST follow the message integrity rules outlined in the previous section [Message Integrity rules for](#)
600 senders and receivers ([Section 6.3.2](#)) when message authentication mechanisms are used.

601 6.4. WSS X.509 Token Authentication

602 The semantics and processing rules for mechanisms with MESSAGE having the value of X509 are described in this
603 section. These URIs support unilateral (sender) message authentication and are of the form:

604 • `urn:liberty:security:2003-08:PEER:X509` where PEER may vary depending on the peer authentication mecha-
605 nism deployed (e.g., may be null, TLS etc).

606 The WSS X509 message authentication mechanism uses the Web Services Security X.509 Certificate Token Profile
607 [wss-x509] as the means by which the message sender authenticates to the recipient. These message authentication
608 mechanisms are unilateral. That is, only the sender of the message is authenticated. It is not in the scope of this
609 specification to suggest when response messages should be authenticated but it is worth noting that this mechanism
610 could be relied upon to authenticate the response message as well. Deployers should recognize, however, that
611 independent authentication of response messages does not provide the same message stream protection semantics
612 as a mutual peer entity authentication mechanism would offer.

613 For deployment settings that require message authentication independent of peer entity authentication, then the sending
614 peer MUST perform message authentication by demonstrating proof of possession of the key associated with the X.509
615 token. This key MUST be recognized by the recipient as belonging to the sending peer.

616 When the sender wields the subject confirmation key to sign elements of the message the signature ensures the
617 authenticity and integrity of the elements covered by the signature. However, this alone does not mitigate the threat
618 of replay, insertion and certain classes of message modification attacks. To secure the message from such threats, one
619 of the mechanisms which support peer entity authentication (see Section 6.2) MAY be used or the underlying SOAP
620 binding request processing model MUST address these threats.

621 6.4.1. Sender Processing Rules

622 These rules are in addition to the generic message authentication processing rules specified in this document.

- 623 • The sender MUST demonstrate possession of the private key associated with the signature generated in conjunction
624 with the WSS X509 token profile.

625 For deployment settings which REQUIRE independent message authentication, the obligation MUST be accom-
626 plished by signing portions of the message as appropriate and recording information in the <wsse:Security>
627 header as outlined in [wss-sms11].

628 For deployment settings which DO NOT REQUIRE independent message authentication then the sender MUST
629 accomplish this obligation by decorating the security header with a <ds:KeyInfo> element bearing the certificate.
630 This MUST be unambiguously verified to be the same certificate and key used in establishing peer entity
631 authentication. This is necessary to mitigate the threat of a certificate substitution attack. Also note that this
632 optimization only applies to *ClientTLS:X509* mechanisms.

633 6.4.2. Recipient Processing Rules

- 634 • If the validation policy regards peer entity authentication sufficient for purposes of authentication then the recipient
635 MUST establish the correspondence of the certificate and key used to establish peer authentication with the
636 corresponding key information conveyed in the message. This allows the message recipient to determine that
637 the message sender intended a particular transport authenticated identity to be used. Information relating the
638 SSL/TLS key to the message MAY be conveyed in the message using an OASIS SOAP Message Security X.509
639 security token.

640 6.4.3. X.509 v3 Message Authentication

641 The following example demonstrates the X.509 v3 message authentication mechanism.

```
642 <?xml version="1.0" encoding="UTF-8"?>  
643 <s:Envelope xmlns:s="http://schemas.xmlsoap.org/soap/envelope/"  
644   xmlns:sb="urn:liberty:sb:2006-08"  
645   xmlns:pp="urn:liberty:id-sis-pp:2003-08"  
646   xmlns:sec="urn:liberty:security:2006-08"  
647   xmlns:wsse="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-secext-1.0.xsd"  
648   xmlns:wsu="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-utility-1.0.xsd"  
649   xmlns:wsa="http://www.w3.org/2005/08/addressing">  
650  
651 <s:Header>  
652 <!-- see Liberty SOAP Binding Specification for which headers
```

```

653         are required and optional -->
654
655     <wsa:MessageID wsu:Id="mid">...</wsa:MessageID>
656
657     <wsa:To wsu:Id="to">...</wsa:To>
658
659     <wsa:Action wsu:Id="action">...</wsa:Action>
660
661     <wsse:Security mustUnderstand="1">
662
663         <wsu:Timestamp wsu:Id="ts">
664             <wsu:Created>2005-06-17T04:49:17Z</wsu:Created >
665         </wsu:Timestamp>
666
667         <wsse:BinarySecurityToken
668             ValueType="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss
669 -x509-token-profile-1.0#X509v3 "
670             wsu:Id="X509Token"
671             EncodingType="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-soap-me
672 ssage-security-1.0#Base64Binary">
673             MIIB9zCCAWSgAwIBAgIQ...
674         </wsse:BinarySecurityToken>
675
676     <ds:Signature xmlns:ds="http://www.w3.org/2000/09/xmldsig#">
677         <ds:SignedInfo>
678
679             <!-- in general include a ds:Reference for each wsa: header
680              added according to SOAP binding -->
681
682             <!-- include the MessageID in the signature -->
683             <ds:Reference URI="#mid">...</ds:Reference>
684
685             <!-- include the To in the signature -->
686             <ds:Reference URI="#to">...</ds:Reference>
687
688             <!-- include the Action in the signature -->
689             <ds:Reference URI="#action">...</ds:Reference>
690
691             <!-- include the Timestamp in the signature -->
692             <ds:Reference URI="#ts">...</ds:Reference>
693
694             <!-- bind the security token (thwart cert substitution attacks) -->
695             <ds:Reference URI="#X509Token">
696                 <ds:DigestMethod Algorithm="http://www.w3.org/2000/09/xmldsig#sha1" />
697                 <ds:DigestValue>Ru4cAfeBABE...</ds:DigestValue>
698             </ds:Reference>
699
700             <!-- bind the body of the message -->
701             <ds:Reference URI="#MsgBody">
702                 <ds:DigestMethod Algorithm="http://www.w3.org/2000/09/xmldsig# sha1" />
703                 <ds:DigestValue>YgGfS0pi56pu...</ds:Di gestValue>
704             </ds:Reference>
705         </ds:SignedInfo>
706         <ds:KeyInfo>
707             <wsse:SecurityTokenReference>
708                 <wsse:Reference URI="#X509Token" />
709             </wsse:SecurityTokenReference>
710         </ds:KeyInfo>
711         <ds:SignatureValue>
712             HJJWbvqW9E84vJVQkjJLLA6nNvBX7mY00TZhwBdFNDElgsCSXZ5Ekw==
713         </ds:SignatureValue>
714     </ds:Signature>
715 </wsse:Security>
716 </s:Header>
717 <s:Body wsu:Id="MsgBody">
718     <pp:Modify>
719         <!-- this is an ID-SIS-PP Modify message -->

```

```
720     </pp:Modify>
721   </s:Body>
722 </s:Envelope>
723
724
```

6.5. Bearer Token Authentication

The Bearer mechanism is used to convey the authenticated identity of an invoker with a message. The mechanism is based on the presence of a *bearer token* in the security header of a message. A bearer token may include the endpoint reference for the discovery resource to which it applies, as well as the intended recipient of the assertion, so the scope of the assertion may be limited even though it is not bound to a specific message. In this situation, the bearer token is verified for authenticity and contributes to authorization decisions rather than being used to demonstrate the authenticity of the message.

The Bearer mechanism does not necessarily provide message authentication, since bearer tokens need not be bound to the message with a cryptographic signature. For this reason, if message authentication is desired a bearer mechanism may be used in conjunction with another mechanism used for message authentication, such as an X.509-based mechanism. In this case the Bearer mechanism **MUST** be used to determine the invocation identity. (If the message authentication identity differs, it may be assumed to be the sender, who may be different from the invoker).

Bearer token functionality may be implemented using different types of tokens, including tokens defined in OASIS SOAP Message Security [wss-sms11], such as WSS Binary Security Tokens (<wsse:BinarySecurityToken>), and WSS Token profiles (X.509 token profile [wss-x509] or SAML token profiles [wss-saml11] for example). Custom tokens or tokens which are subsequently profiled after this specification is finalized could still leverage the bearer mechanism providing the `wsse:ValueType` is understood by the producer and consumer of the token. See the Custom Bearer Token example (Section 6.5.3.1).

The use of a bearer authentication mechanism is specified using a SecMech URN with a MESSAGE value of `Bearer`. Such a bearer authentication mechanism supports unilateral (invoker) entity authentication. The URN is of the form `urn:liberty:security:2003-08:PEER:Bearer`. PEER may vary depending on the peer authentication mechanism deployed (e.g., may be null, TLS etc). Note that such URIs indicate that a bearer mechanism is in use, but do not specify which exact specific bearer token instance is in use (e.g., SAML 2 assertion, binary security token, etc).

The type of bearer token must either be recognized from the schema of the token, as for example with a SAML assertion, or from a `ValueType` attribute associated with the token, as for example with a WSS BinarySecurityToken.

This section defines normative requirements that apply in general to all bearer tokens. Additional detailed normative requirements and semantics related to a specific bearer token type may be defined in a profile for that type. A profile is not always required.

Specifically, the SecMech SAML Profile [LibertySecMech20SAML] defines additional normative requirements when using SAML 2 assertions as bearer tokens. This core document provides normative requirements on the use of Binary Security Tokens, see Section 6.5.3.

The following are general normative statements regarding the use of bearer tokens:

- A SAML 2 assertion may be used directly as a bearer token, when placed within a (<wsse:Security>) header block. This usage is defined in the SecMech SAML profile [LibertySecMech20SAML].
- A bearer token **MUST** appear within the <wsse:Security> header of a message. That <wsse:Security> header **MUST** be targeted at the recipient SOAP node to be used in authorization decisions by that entity.

- 761 • Note that the integrity, authenticity or confidentiality of the bearer token may not be protected when the bearer
762 token is neither signed nor encrypted at the message layer and secure end-to-end transport is not used. For this
763 reason caution must be taken not to expose the token to unauthorized entities.

764 To secure a message from such threats, one of the mechanisms which support peer entity authentication with
765 integrity and confidentiality protections (see [Section 6.2](#)) SHOULD be used in conjunction with or instead of an
766 unprotected bearer mechanism.

- 767 • The sender and receiver processing rules that follow must be observed.

768 **6.5.1. Sender Processing Rules**

- 769 • The construction and decoration of the `<wsse:Security>` header element MUST adhere to the rules specified in
770 [[wss-sms11](#)].

- 771 • The sender MUST insert the bearer token as a direct child of the `<wsse:Security>` header and this header
772 MUST be targeted at the recipient.

773 **6.5.2. Recipient Processing Rules**

- 774 • The recipient MUST locate the `<wsse:Security>` element for which it is the SOAP target. This header MUST
775 adhere to the syntax and processing rules specified in [[wss-sms11](#)].

- 776 • The recipient MUST locate the bearer token by locating it as a direct child of the appropriate `<wsse:Security>`
777 header. The recipient can recognize the token by `ValueType` in the case of a Binary Security Token, or by using
778 its well known schema type.

- 779 • The recipient MUST process the token in accordance with the processing rules of the token type, as indicated by
780 its schema and namespace.

781 **6.5.3. Binary Security Token Bearer Tokens**

782 A bearer token MAY be a WSS Binary Security Token. The following normative requirements on the use of Binary
783 Security Tokens as bearer tokens must be met:

- 784 • The `EncodingType` attribute MUST be explicitly stated to be `base64Binary`.

- 785 • The `ValueType` MUST be present and indicate the format of the bearer token.

6.5.3.1. Custom Bearer Token Example (Informative)

This example depicts a custom security token being conveyed to the relying party. For such an example to function, the producer and consumer of the custom token must understand and follow the proper processing rules associated with the `wsse:ValueType` attribute.

```
<?xml version="1.0" encoding="UTF-8"?>
<s:Envelope xmlns:s="http://schemas.xmlsoap.org/soap/envelope/"
  xmlns:sb="urn:liberty:sb:2006-08"
  xmlns:pp="urn:liberty:id-sis-pp:2003-08"
  xmlns:sec="urn:liberty:security:2006-08"
  xmlns:wss="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-secext-1.0.xsd"
  xmlns:wsu="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-utility-1.0.xsd"
  xmlns:wsa="http://www.w3.org/2005/03/addressing">
  <s:Header>
    <!-- see Liberty SOAP Binding Specification for which headers
      are required and optional -->
    <wsa:MessageID wsu:Id="mid">...</wsa:MessageID>
    <wsa:To wsu:Id="to">...</wsa:To>
    <wsa:Action wsu:Id="action">...</wsa:Action>
    <wsse:Security mustUnderstand="1">
      <wsu:Timestamp wsu:Id="ts">
        <wsu:Created>2005-06-17T04:49:17Z</wsu:Created >
      </wsu:Timestamp>
      <!-- Custom binary security token -->
      <wsse:BinarySecurityToken
        ValueType="anyNSPrefix:ServiceSessionContext"
        EncodingType="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss
          -soap-message-security-1.0#Base64Binary"
        wsu:Id="bst" >
mQEMAzRniWkAAAEH9RWir0eKDkyFAB7PoFaz x3ftp0vWwbbzqXdcX8fpEqSr1v4
YqUc70MiJcBtKbP3+jld4HPUaurIqHA0vrMmPm+sF2BnpND118f/mXCv3XbWhiL
xj1/M4y0CMAM/wBHT3xa17tWJwsZkDRLWwXP7wS1TXNjCThHzBL8gBKZRqNbcZ1U
QXdP1/HIYQo5tIvCAM4pGk8nJFh6JrLsOEnT887aJRaasvBAAQ27C7D4Dmpt01aC
FqLEQ98/lt6nkFmf7oiuZkID++xQXn74LWOvdNlki43vASXWcQAjzCzirHSuVX1N
QvAsufa9Vghnry5Blxe2VzwiMDwiRC S/bpbRQAFebQmR2FyeSBGLiBFbGxpc 29u
IDxnYXJ5LmVsbGlzb25Ac3VuLmNvbT6JARUDBRA0Z5icfpHf i79/fM0BARwaB/sG
YHj+fpvMgRZev/i0DYZX+s6YyMZKeJ4pVHe boFP7KaP0R+VvAP0qo jK+6ITUyX2w
R3eqeJPMbWqmOA/EAYkYE/xcqrq2ddSg2SG43530/TTofY+ENXtt ltVhBdJ79KLx
8fr2f9jLkjqQBu2MRKpy5EdJlqmtHkQm/SGTKRz 8uncs5BtmJxkAbskuSi6Ys24E
Pv0r97dW/uTfh7VM8+SA/hkCF6QVE1UzvgpKwEph2DZiuzvWAFqV/tINZRHGhCg
TNLvyz+5yYXSAY3nr8UPzNJ9QUXrsmzBGDSLpqp3GO7kL0VHN//B/5GLSVcofzpa
xj/JP+41N4sDJGkyCwwqiQEeBBABAg AJBQI+d0xwAhkBAoJEPCEJL9ultFpMgH
9AzI8pmuPKxv3dQcuqZ+rJRsy2YYuuSkWp j97n5PFVwBGTS Au2+2wo3uLn8A596w
n4MVShtx5SC2rMKKZABJ8ObqtbbS1tQaIJ mPg471qmnHjazeqBPfPwpQHZQ66c je
De/3QbxBD/rPXV2SiyECed0qRsbuC9Oo3TonrJBOP6+Hs6jSkjGvQeJ jvutukLMN
A9TOd0CKN1RiEUWl4zweF7cmHWjWYfC64l8pqMFLC7XrYE7pXAL2Y6pi8Ta5njGL
ldWryWzSDMCEunOt5wiuUYqZ+BXvyl1kp2iKmi56ioTg5UHxGJqr6oZ ONDwMDIhW
sI9v1kuHhJuWz8DZiZ0li7QgR2FyeSBFbGxpc29uIDxnZmVAAw50ZXJoYWNrLm5l
dD6JARQDBRA+d1WR8IkQkv26W0UBAXgsB/UROD8wayj9v7gMK3K9Idxk/3K16myl
m0Q5mzFkXoLZ6Ej3wZlpXter9oeTo2F/5tJ0k9SfNaeIF uipVGz9y+iDHHVkyQw
kDGg7YB5+fK1siebpUnIemvhmngRuzLnmboJDpBy+UukRGjRlHdsuEXN8fpGb27d
ddo2odK3lnR9OpRPGo/F2mkduatD28MMPVn4RpOkw8Nx7PIIxV PnTXGgfLY2PDDO
Dk5he7KszA3rJul9Dof0Ii9nLHlOXiHwXWFx7 le66vwlHCiNaNwpvU8BXSeIgbKDA
ZzFMfUHsKyTdMo91+ByDk/jLsGsvZ61tROShVWSw00rC8pKa3sVmSMY0C2dmZUBz
dW4uY29tiQETAwQP3plwvCJEJL9ultFAQGRDgfwmhqrrlACqYAr2a2yFoexOgIz
NrTQvMjRwW5Eyz0Gu9KMq5ilsBIpIHCCa6LY/Y6rb0qsrP7Pu0Z082uuQA lfpRzs
i4lHsZDOeKKAiw7G3bJO+fDpkwYPHC7YFobof45Y71BWO +ObfKrMb73ZfgYYGKIc
tECofkVO3fvNHNEeDIEzhvY2o783JOGb dN34P5NcLre69eLPF3KNhonLQMVx1Nmh
```

```

850      0kwl5rUckRPAPy4WgKv/VQEztXSPmx9t4x3jUjc+yDtSdvTnBMwEHUU3/Pn8TICa
851      XsvFX/55u0PONTxFOi1A+0UpsCGrGpdzvlq7tRmFsF5aOP1Um79Qg1O/5060Gkdh
852      cnkgRWxsaXNvbiA8Z2Z1QHN1bi5jb20+iQEUAwUQP3pmAvCJEJL9u1tFAQF1twf0
853      CAY7B8Nb74w+mYYyHS+UXCrPQR21vs5DjzuKooX7j6pJHDQqhfss24NLBvvpufZa
854      uTE27fDIx+HC0SK5cjGUTqoX/4nkMe+HM87vPcChbS3lTGT+yxVjyiQ9B Iei5mX2
855      QTl9RkS3ZDXNux32uONDRX7dykNX6fYkKRGserWHhdXl HppmmvLodKCK/sZkkqzf
856      VT4r9ytfpXBlue1OV93X8RUz4ecZcDm9e+IEG+pQjnvgrSgac1NrW5K/CJEOUJjh
857      oGTrym0Ziutezhrrw/gOeLVtkywsMgDr77gWZxRvw01wlogtUdTceURBIDANj+KVZ
858      vLk1TCaGAUNIjkiDDgti
859      =OuKj
860    </wsse:BinarySecurityToken>
861
862    <ds:Signature xmlns:ds="http://www.w3.org/2000/09/xmldsig#">
863      <!-- in general include a ds:Reference for each wsa: header
864           added according to SOAP binding -->
865
866      <!-- include the MessageID in the signature -->
867      <ds:Reference URI="#mid">...</ds:Reference>
868
869      <!-- include the To in the signature -->
870      <ds:Reference URI="#to">...</ds:Reference>
871
872      <!-- include the Action in the signature -->
873      <ds:Reference URI="#action">...</ds:Reference>
874
875      <!-- include the Timestamp in the signature -->
876      <ds:Reference URI="#ts">...</ds:Reference>
877
878      <!-- bind security token -->
879      <ds:Reference URI="#bst">...</ds:Reference>
880
881      <ds:Reference URI="#MsgBody">
882        <ds:DigestMethod Algorithm="http://www.w3.org/2000/09/xmldsig#sha1"/>
883        <ds:DigestValue>YgGfS0pi56pu...</ds:DigestValue>
884      </ds:Reference>
885    </ds:SignedInfo>
886    ...
887  </ds:Signature>
888
889  </wsse:Security>
890 </s:Header>
891 <s:Body wsu:Id="MsgBody">
892   <!-- payload -->
893 </s:Body>
894 </s:Envelope>
895
896

```

6.6. Identity Tokens

Identity Tokens are references to a principal that differ from an Authentication Token in that the Identity Token is primarily used to convey an identity while an Authentication Token conveys both the Identity and the authentication context of the user.

6.6.1. Identity Token Requirements

It is possible to use an Authentication token in the context where an Identity Token is needed (although the reverse is not appropriate), but there are differences that should be considered:

- Identity tokens typically are long lived since they don't authenticate a user.

905 • Identity tokens represent a handle to be used to refer to the principal when the principal is not involved in a
906 transaction (such as when Bob attempts to view Alice's pictures – Alice may not even be logged in, but Bob may
907 need a handle to pass to Alice's picture WSP so that the WSP knows who's pictures are being accessed).

908 Different mechanisms may be used to convey an identity including the following:

- 909 • A SAML 2.0 assertion element (`saml2:Assertion`) as profiled in the Security Mechanisms SAML pro-
910 file [[LibertySecMech20SAML](#)]. This is a `saml2:Assertion`, and not a `saml2:EncryptedAssertion`,
911 `saml2:NameID`, or `saml2:EncryptedID`.
- 912 • An opaque value, for example a `saml2:EncryptedAssertion`, `saml2:NameID`, or `saml2:EncryptedID`,
913 WSS Binary Security Token, or non-SAML values.

914 Any identity token SHOULD be able to convey information needed for discovery. This is typically an endpoint
915 reference.

916 An identity token must have an attribute of type `IDType` that may be used as a target of a `ds:Reference`, e.g., an `xml:id`
917 or `wsu:Id` attribute.

918 Normative details using SAML 2 assertions are given in the Security Mechanisms SAML profile [[Liberty-
919 SecMech20SAML](#)].

920 A WSS `SecurityTokenReference` element may also be used to reference an identity token.

921 6.6.2. Token Policy

922 The token policy describes the nature of the identity token to be returned upon an identity token request, generally
923 focusing on the nature of the identifier. Details are defined in [[LibertyAuthn](#)].

924 The `<TokenPolicy>` element is of complex type **TokenPolicyType**, and contains the following attributes and
925 elements:

- 926 • **validUntil** [Optional]

927 Indicates the duration for which the requestor would like the token to be valid. The responder MAY disregard the
928 value in favor of its own policies.

- 929 • **issueTo** [Optional]

930 Identifies the party to whom the identity token should be issued, if not otherwise apparent from the request or
931 policy content. Note that this is usually *not* the party requesting the token, but generally a WSP the requester
932 wishes to access.

933 For example, a `samlp:NameIDPolicy` element may be included in the `TokenPolicy` element, and, in some
934 cases, the value of the associated `SPNameQualifier` attribute will already indicate the party to whom the token
935 is being issued, making use of `issueTo` unnecessary.

- 936 • **type** [Optional]

937 Specifies the type of identity token to be returned upon an identity token request. If no type is specified, then the
938 type of token returned is Opaque and need not necessarily be understood by the requestor.

939 The value of the type attribute is a URI. The following are defined in this document:

- 940 • **SecMech-SAML-2.0-Assertion**:

941 • This MUST be a SAML 2.0 assertion (`saml2:Assertion`) as profiled in the Security Mechanisms
942 SAML Profile. This is a `saml2:Assertion`, and not a `saml:EncryptedAssertion`, `saml:NameID`,
943 or `saml:EncryptedID`, which are all considered Opaque types.

944 • A `samlp2:NameIDPolicy` element SHOULD be included in the `TokenPolicy` element.

945 • URI value: `urn:liberty:security:2006-08:IdentityTokenType:SAML20Assertion`

946 • **Opaque:**

947 • The format is not specified and may be any format chosen by the IdP including, but not limited to, SAML
948 assertions, Encrypted Assertions, NameIDs, Encrypted NameIDs, WSS Binary Security Tokens, or other
949 forms.

950 • URI value: `urn:liberty:security:2006-08::IdentityTokenType:Opaque`

951 • **wantDSEPR** [Optional]

952 Specifies whether the requestor would like the token to include a WSF 2.0 Endpoint Reference for the Discovery
953 Service in a token returned by that Discovery Service. The default value is 'true'.

954 • **Any Attribute** [Zero or More]

955 Any attribute can be used to describe other characteristics of the desired identity token. The wildcard is necessary
956 because of the arbitrary nature of identity tokens.

957 • **Any Element** [Zero or More]

958 Any element can be used to describe other characteristics of the desired identity token. The wildcard is necessary
959 because of the arbitrary nature of identity tokens.

960

961

```
962 <xs:complexType name="TokenPolicyType">
963   <xs:sequence>
964     <xs:any namespace="##any" processContents="lax" minOccurs="0"/>
965   </xs:sequence>
966   <xs:attribute name="validUntil" type="xs:dateTime" use="optional"/>
967   <xs:attribute name="issueTo" type="xs:anyURI" use="optional"/>
968   <xs:attribute name="type" type="xs:anyURI" use="optional"/>
969   <xs:attribute name="wantDSEPR" type="xs:boolean" use="optional" />
970   <xs:anyAttribute namespace="##other" processContents="lax" />
971 </xs:complexType>
972
973 <xs:element name="TokenPolicy" type="sec:TokenPolicyType"/>
974
975
```

976 **Figure 1. Element <TokenPolicy> Schema Fragment**

977 **7. Message Authorization Mechanisms**

978 The Message Authorization Model specifies OPTIONAL mechanisms to convey authorization and resource access
979 information (supplied by a trusted third party) that may be necessary to access a service. This facility, incorporated
980 for authorization purposes, serves a distinct and complementary function to the binding between subject and key that
981 the subject accomplishes for authentication purposes. However, it is possible to optimize the processing when the
982 message authentication mechanism utilizes the same subject confirmation key as the authorization mechanism and the
983 key has successfully been applied to ensure the integrity and authenticity of the message payload.

984 **7.1. Authorization Mechanism Overview (Informative)**

985 The authorization mechanism defined by this specification formalizes the generation and conveyance of authorization
986 information. In support of this mechanism a Trusted Third Party (TTP) may be relied upon to act as either a Policy
987 Information Point (PIP), a Policy Decision Point (PDP) and potentially a coarse grained Policy Enforcement Point
988 (PEP). As a PIP the authority may provide information useful in making a policy decision to the relying party. As
989 a PDP, the Trusted Third Party may make coarse access decisions, such as during the discovery process disallowing
990 discovery of a resource if not authorized. This requires strong assurance as to the authenticity of a peer subject. Given
991 the reliance of authorization upon authentication, this model aids in disseminating subject confirmation obligations,
992 identity information and access authorization data.

993 The authorization model supports the issuance of assertions that convey information regarding the resource to be
994 accessed, the entity attempting to access the resource, the mechanism that the accessing entity must use to confirm its
995 identity to the recipient and the ability for the sending entity to access the resource on behalf of another system entity.

996 When one provider acts on behalf of an invoker, information about both the sender and invoker may be useful for a
997 subsequent authorization decision and may need to be conveyed with the message, including information needed to
998 verify both identities.

999 **7.2. Authorization Assertion Generation**

1000 The Liberty Alliance Discovery service, [[LibertyDisco](#)], is a trusted service which enables the discovery of identity-
1001 based web services. The trusted authority [[LibertyDisco](#)] may issue an assertion, subsequently used when accessing
1002 the discovered identity-based web service (the resource).

1003 In addition to managing the registration and discovery of identity-based web services the trusted authority may act
1004 as a centralized policy information and decision point. The authority may issue assertions regarding authentication
1005 and authorization policies enforced for a given identity-based web service, resource and the identity of the sender.
1006 The makeup of this assertion reflects the information necessary to accommodate the authentication and authorization
1007 policy requirements.

1008 Specific processing rules are provided in the SecMech SAML profile.

1009 **7.3. Provider Chaining**

1010 Provider chaining refers to scenarios in which a service provider (WSP), upon receiving a request from a sender, sends
1011 a request to the next service provider. This may be done by forwarding the request it received, acting as a proxy, or by
1012 generating a new request. This may be done until the destination service provider is reached.

1013 An example is a browser client accessing a portal that acts as a web service client on behalf of the browser client,
1014 accessing a web service provider that in turn passes the request to a second web service provider. When more
1015 than two web service providers are in the chain, information about the earlier web service providers may need to be
1016 explicitly recorded to enable the destination web service provider to make an appropriate authorization decision, since
1017 knowledge of the sender may not be enough information.

1018 Service providers may rely upon a security token passed with each request to make an authorization decision based on
 1019 authentication, authorization and possibly other information contained within the token. The security token is unique
 1020 to the service provider that consumes it, for example the principal ultimately invoking the destination service (the
 1021 assertion subject) is conveyed using a name identifier appropriate to the service provider.

1022 Note that the service provider itself may act as a policy decision point, or may use some other system entity as a policy
 1023 decision point. How authorization is implemented is outside the scope of this specification, apart from the information
 1024 conveyed in the message to enable such decisions.

1025 The security token is passed in the <wsse:Security> header in the SOAP header block, as part of the SOAP request
 1026 to a service provider. It is obtained by the service requestor as part of the discovery operation used to determine the
 1027 endpoint information for the web service provider to whom the request is sent. When the Discovery Service returns
 1028 a WS-Addressing endpoint reference (EPR) as profiled in the Discovery Service specification, it includes a security
 1029 assertion appropriate for the requestor to transmit to the web service provider. This assertion is signed by the assertion
 1030 issuer, e.g., the Discovery Service.

1031 When two or more WSPs are transited before reaching the destination WSP, a <TransitedProviderPath>
 1032 SHOULD be included in the security assertion by the Discovery Service. The normative details of how to do this
 1033 using SAML 2 assertions is given in the Security Mechanisms SAML profile [[LibertySecMech20SAML](#)].

1034 The <TransitedProviderPath> SHOULD capture the identity of all but the last transited provider. For example,
 1035 if there were three WSPs transited before reaching the final (fourth) WSP, it is only the first two that are recorded in
 1036 the <TransitedProviderPath>. To be meaningful in making an authorization decision, the provider path MUST
 1037 be recorded by a trusted party. In this case the trusted party is the Discovery Service that issues the token.

1038 The last transited provider need not be explicitly recorded in the <TransitedProviderPath> since it is known to
 1039 the message recipient as the sender of the message. The identity of this last transited provider MUST be recorded in
 1040 the assertion, however, for example as part of the SAML assertion confirmation method.

1041 The following table gives an example of the information contained in a token as it traverses a number of providers.
 1042 This shows the system entities (A-F) where A is assumed to be a web browser client, and B-F are WSPs. B-E also act
 1043 as WSCs and F the destination WSP.

1044 **Table 7. Transited Providers**

Party:	A	B	C	D	E	F
Assertion Contains:						
subject = principal = invoker		A(v)	A(w)	A(x)	A(y)	A(z)
sender(assertion confirmation method)			B	C	D	E
Provider Chain				(B)	(B,C)	(B,C,D)

1045 Each entry of this table shows the relevant content of the assertion as received by the party at the top of that column.
 1046 Thus, for example, WSP E receives an assertion showing that the invoker is A and that the sender is D. WSP E also
 1047 receives a provider chain showing that providers B and C were transited before the request reached D. Note that each
 1048 WSP may receive name identifiers that are unique to it and the sender, for example "y" instead of "A" for the invoker,
 1049 and possibly other name identifiers for the sender and provider chain than other WSPs would receive.

1050 When a WSP receives a request and determines that it must act as a WSC to send the request to another WSP, it looks
 1051 for a bootstrap EPR in the security token it received with the request. This EPR indicates how to reach a Discovery
 1052 Service for finding the next Web Service Provider, and this EPR includes a security token appropriate for the WSP
 1053 to use in making a request to the DS. The DS may have included the <TransitedProviderPath> element in the
 1054 security token contained in the bootstrap EPR, or may have included other information useful to the DS to perform the
 1055 next step. Information that the DS may include is out of scope of this specification.

1056 The WSP then sends a query to this Discovery Service using the bootstrap security token it received, placing it
1057 in the <wsse:Security> header block (and providing confirmation as necessary). Upon receipt the Discovery
1058 Service may use this security token in conjunction with the identity of the WSP indicated by the token to create a
1059 <TransitedProviderPath> (if needed) to place in the security token provided with the EPR for the next WSP.

1060 When the Discovery Service creates the security token, it will map the name identifier of the assertion subject to a
1061 name identifier appropriate for the current WSP (soon to be WSC) and the next WSP. This is done to protect privacy.

1062 When the WSP receives the new token from the Discovery Service as part of the EPR, it sends it on to the recipient,
1063 which may be the destination WSP or a WSP that may act as a WSC to send the request to another WSP, repeating the
1064 process. Although the token issued by the discovery service has a name identifier for the same principal as the subject
1065 of the original assertion, the name identifier may be changed to maintain privacy. This token also contains the revised
1066 <TransitedProviderPath>. Each token is a new token, with updated Subject name identifier and path information
1067 and with a new Discovery Service signature.

1068 When a WSP acts as a WSC to send a request to the next WSP, it is the *sender*. Again, this sender identity may be
1069 expressed using a name identifier. The sender's identity is conveyed as part of the subject confirmation method, which
1070 includes the name identifier for the sender. This may use various confirmation methods, including sender-vouches,
1071 holder-of-key and bearer.

1072 When a <TransitedProviderPath> is used, a single <TransitedProviderPath> element MUST be
1073 used to contain the information about all of the transited WSPs, in a single element. (In earlier versions
1074 of ID-WSF, Security Mechanisms 1.2 and earlier [[LibertySecMech12](#)], the chain was expressed by a separate
1075 <ProxyTransitedStatement> for each proxy transited.)

1076 When a <TransitedProviderPath> is included in a token, it contains <ProviderID> elements to indicate the
1077 identity of each transited WSP to the recipient. Normative details are defined in the SecMech SAML profile
1078 [[LibertySecMech20SAML](#)].

1079 When requesting a token from the assertion provider, the WSP acting as a transited provider SHOULD convey its
1080 confirmation claim in the form of a SAML assertion carried as a security token within the security header of the
1081 request to the assertion issuing authority when requesting a token.

1082 The final service provider may make an authorization decision based on the information presented to it in the request,
1083 as well as information it knows. Including information about a transited WSP path may be useful to this authorization
1084 decision.

1085 Various tokens may be used to convey provider chaining information. SAML 2.0 assertions SHOULD be used. How
1086 SAML 2.0 assertions are to be used is outlined in the Security Mechanisms SAML profile [[LibertySecMech20SAML](#)].

1087 7.3.1. Supporting Schema

1088 7.3.1.1. TransitedProviderPath Schema

1089 The <TransitedProviderPath> is used to identify the WSPs that are transited, apart from the last WSP that is
1090 transited. The intended usage of this element is to provide the authorization decision point associated with the final
1091 service provider transited WSP path information necessary to make an authorization decision.

1092 The following schema fragment describes the structure of the <TransitedProviderPath> element.

```
1093
1094 <xs:complexType name="TransitedProviderPathType">
1095   <xs:sequence>
1096     <xs:element ref="sec:TransitedProvider" minOccurs="1"
1097       maxOccurs="unbounded" />
1098   </xs:sequence>
1099 </xs:complexType>
1100
```

```
1101 <xs:element name="TransitedProviderPath" type="sec:TransitedProviderPathType"/>
1102
1103
```

1104 Note that a Discovery Service may decide to carry state information elsewhere in the assertion, for example in the
1105 Advice element of the SAML assertion. How this is done is outside the scope of this specification.

1106 **7.3.1.2. TransitedProvider Schema**

1107 A Discovery Service uses the <TransitedProvider> element to supply information about a single transited
1108 provider.

1109 The following schema fragment describes the structure of the <TransitedProvider> element.

```
1110
1111 <xs:complexType name="TransitedProviderType">
1112   <xs:simpleContent>
1113     <xs:extension base="xs:anyURI">
1114       <xs:attribute name="timeStamp" type="xs:dateTime"
1115         use="optional" />
1116       <xs:attribute name="confirmationURI" type="xs:anyURI"
1117         use="optional" />
1118     </xs:extension>
1119   </xs:simpleContent>
1120 </xs:complexType>
1121
1122 <xs:element name="TransitedProvider" type="sec:TransitedProviderType" />
1123
1124
```

1125 The semantics around the <TransitedProvider> element is as follows:

- 1126 • The URI value of the <TransitedProvider> element is a URI determined by the Discovery Service. Typically
1127 it will be a ProviderID as defined in the Discovery Service specification.
- 1128 • The OPTIONAL timestamp attribute is the time the message transited the provider. This is an approximate value
1129 since clock synchronization should not be expected to be accurate.
- 1130 • The confirmationURI indicates the confirmation method used by the transited provider to confirm its identity to
1131 the Discovery service when obtaining the EPR to send the request to the next WSP.

1132 7.4. Presenting Authorization Data

1133 Interactions with identity-based web services may rely on the conveyance of authorization information. In general,
1134 a trusted authority issues the authorization data. In such a setting the authorization information would be sent along
1135 with the identity-based web service request to the recipient. See [Authorization Assertion Generation \(Section 7.2\)](#) for
1136 details as to how this data is acquired and formulated.

1137 7.4.1. Processing Rules

- 1138 • The sender **MUST** authenticate to the recipient using one of the authentication mechanisms described in [Message](#)
1139 [Authentication and Integrity \(Section 6.3\)](#).

1140 It is **RECOMMENDED** that the sender authenticate using SAML assertion message authentication and specifically
1141 conform to the processing rules specified in the SecMech SAML profile.

1142 7.5. Consuming Authorization Data

1143 A recipient that exposes a resource typically makes access control decisions based on the invocation identity.
1144 Additionally the recipient may also predicate access control policies upon the sender identity. The semantics of
1145 resource access authorization are described in [Presenting Authorization Data \(Section 7.4\)](#).

1146 Additional details related to the use of SAML 2.0 assertions are presented in the SecMech SAML profile.

1147 7.5.1. Processing Rules

- 1148 • The recipient **MUST** authenticate the sender using one of the mechanisms described in [Authentication and Integrity](#)
1149 [Mechanisms](#).

1150 Additional processing rules specific to the use of SAML 2.0 assertions are presented in the SecMech SAML profile.

8. Schema

```

1151
1152 <?xml version="1.0" encoding="UTF-8"?>
1153
1154 <xs:schema targetNamespace="urn:liberty:security:2006-08"
1155     xmlns:xenc="http://www.w3.org/2001/04/xmenc#"
1156     xmlns:saml2="urn:oasis:names:tc:SAML:2.0:assertion"
1157     xmlns:xs="http://www.w3.org/2001/XMLSchema"
1158     xmlns:sec="urn:liberty:security:2006-08"
1159     xmlns:ds="http://www.w3.org/2000/09/xmldsig#"
1160     xmlns:wsse="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-secext-1.0.x
1161 sd"
1162     xmlns:wsu="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-
1163 utility-1.0.xsd"
1164     elementFormDefault="qualified"
1165     attributeFormDefault="unqualified">
1166     <xs:import namespace="urn:oasis:names:tc:SAML:2.0:assertion"
1167         schemaLocation="saml-schema-assertion-2.0.xsd" />
1168     <xs:import namespace="http://www.w3.org/2001/04/xmenc#"
1169         schemaLocation="http://www.w3.org/TR/2002/REC-xmenc-core-20021210/xenc-schema.xsd" />
1170     <xs:import namespace="http://www.w3.org/2000/09/xmldsig#"
1171         schemaLocation="http://www.w3.org/TR/2002/REC-xmldsig-core-20020212/xmldsig-core-schema.
1172 xsd" />
1173     <xs:import
1174         namespace="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-secext-1.0.x
1175 sd"
1176         schemaLocation="wss-secext-1.0.xsd" />
1177
1178     <xs:import
1179         namespace="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity
1180 -utility-1.0.xsd"
1181         schemaLocation="wss-util-1.0.xsd" />
1182
1183     <xs:complexType name="TokenPolicyType">
1184         <xs:sequence>
1185             <xs:any namespace="##any" processContents="lax" minOccurs="0" />
1186         </xs:sequence>
1187         <xs:attribute name="validUntil" type="xs:dateTime" use="optional" />
1188         <xs:attribute name="issueTo" type="xs:anyURI" use="optional" />
1189         <xs:attribute name="type" type="xs:anyURI" use="optional" />
1190         <xs:attribute name="wantDSEPR" type="xs:boolean" use="optional" />
1191         <xs:anyAttribute namespace="##other" processContents="lax" />
1192     </xs:complexType>
1193
1194     <xs:element name="TokenPolicy" type="sec:TokenPolicyType" />
1195
1196     <xs:complexType name="TransitedProviderType">
1197         <xs:simpleContent>
1198             <xs:extension base="xs:anyURI">
1199                 <xs:attribute name="timeStamp" type="xs:dateTime"
1200                     use="optional" />
1201                 <xs:attribute name="confirmationURI" type="xs:anyURI"
1202                     use="optional" />
1203             </xs:extension>
1204         </xs:simpleContent>
1205     </xs:complexType>
1206
1207     <xs:element name="TransitedProvider" type="sec:TransitedProviderType" />
1208
1209     <xs:complexType name="TransitedProviderPathType">
1210         <xs:sequence>
1211             <xs:element ref="sec:TransitedProvider" minOccurs="1"
1212                 maxOccurs="unbounded" />
1213         </xs:sequence>
1214     </xs:complexType>
1215
1216     <xs:element name="TransitedProviderPath" type="sec:TransitedProviderPathType" />

```

```
1217 <!--
1218 TokenType can refer to an external token using the ref attribute (no
1219 element content) or contain a Web Services Security token, or a WSS
1220 Security Token Reference (STR) element
1221 -->
1222
1223 <xs:complexType name="TokenType">
1224   <xs:sequence>
1225     <xs:any namespace="##any" processContents="lax"
1226       minOccurs="0" maxOccurs="unbounded" />
1227   </xs:sequence>
1228   <xs:attribute name="id" type="xs:ID" use="optional" />
1229   <xs:attribute name="ref" type="xs:anyURI" use="optional" />
1230   <xs:attribute name="usage" type="xs:anyURI" use="optional" />
1231 </xs:complexType>
1232
1233 <xs:element name="Token" type="sec:TokenType" />
1234
1235 </xs:schema>
1236
```

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Normative

1237

1238

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