



# Liberty ID-WSF Security Mechanisms Core

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## **Abstract:**

Specification from the Liberty Alliance Project Identity Web Services Framework for describing security mechanisms for authentication and authorization.

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## 86 1. Introduction

87 This document specifies security mechanisms for identity-based web services. This includes mechanisms for authen-  
88 tication, integrity and confidentiality protection, and the means for sharing information necessary for authorization  
89 decisions. The mechanisms build on accepted technologies including SSL/TLS, XML-Signature [[XMLDsig](#)] and  
90 XML-Encryption [[xmlenc-core](#)], and SAML assertions. OASIS Web Services Security SOAP Message Security  
91 [[wss-sms11](#)] compliant header elements are used for message level security, to communicate the relevant security in-  
92 formation, for example using SAML [[SAMLCore11](#)] or [[SAMLCore2](#)] assertions, along with the protected message.  
93 A separate SAML Security Mechanism profile is defined for the use of SAML security tokens in conjunction with this  
94 core document [[LibertySecMech20SAML](#)].

## 95 **2. Overview of Identity-Based Web Services Authentication and** 96 **Authorization (Informative)**

97 This document describes security mechanisms that may be used in conjunction with identity-based web services  
98 defined by the Liberty Alliance standards. An identity-based web service is a particular type of a web service that  
99 acts upon some resource to retrieve information about an identity, update information related to an identity, or perform  
100 some action for the benefit of some identity. A resource is either data related to some identity or a service acting for  
101 the benefit of some identity. Although this specification focuses on identity-based services, this does not imply that  
102 these mechanisms may not also be used with other web services or that identity and non-identity based web service  
103 requests may not be combined as needed by applications.

104 This specification assumes a model with the following parties: an invoker, a requester, a discovery service and a service  
105 provider. An invoker is a principal whose identity is related to requesting an identity-based service. A requester is a  
106 web services client that is making a service request. In many cases the requester is the same as the invoker, as in the  
107 case where a web service client makes a web service request related to its own identity. An example where the invoker  
108 is distinct from the requester is when a browser based client invokes an identity-based web service by delegating the  
109 request to a web service client. In this case this requester acts on behalf of the browser client. The service provider  
110 offers an identity-based web service and responses to web service requests. The Discovery Service provides a service  
111 endpoint reference and possibly security tokens to the requester to enable the requester to reach the service provider  
112 that offers the identity-based service.

113 In many cases, the requester directly interacts with the identity-based web service, and the identity-based web service  
114 implements both the authorization policy decision point (PDP) and policy enforcement point (PEP). Under these  
115 circumstances the authorization decision should be made according to the policies of the service provider and MAY  
116 be based on the identity of the invoker, the identity of the requester, the authentication context of the requester, the  
117 specific resource being accessed, and other information known to the provider. In order to make a request to the service  
118 provider, the requester may obtain a service endpoint reference from a Discovery Service. In this case the Discovery  
119 Service may also make an authorization decision, and refuse to provide a service endpoint reference for services that  
120 are not authorized by the Discovery Service.

121 In the case of delegation, the invoker may provide the requester with credentials that may be used in authorization  
122 decisions. In this case an authentication assertion for the invoker may be included in the service request, allowing the  
123 authorization decision at the service provider to be based not only on the identity of the service requester (the portal),  
124 but also the invoker (the browser client). Such an assertion may be obtained through a SAML 2.0 profile that enables  
125 authentication of the browser client to the service requester, or using a single sign-on service as outlined in the Liberty  
126 ID-WSF Authentication Service and Single Sign-On Specification.

127 To access an appropriate identity-based service, a web service requester must first obtain a service endpoint reference  
128 from a discovery service for the appropriate service provider. Which is appropriate is determined by the discovery  
129 service, which knows which services are available, and it authorizes the service requester to contact. The service  
130 endpoint reference may include the following:

- 131 • A list of allowed authentication mechanisms for interacting with the service provider. The service endpoint  
132 reference includes a list of authentication mechanism identifiers that each specify an allowed combination of peer  
133 and message level authentication. These identifiers are defined in this specification.
- 134 • Security token instances that the client may use to access the service provider. Such tokens may include  
135 authentication or authorization tokens provided by the discovery service.
- 136 • Additional information relevant to future authorization decisions, such as the path through proxies taken by the  
137 request so far. The discovery service may include such information in a security token, as described in this  
138 specification.

139 This specification also defines identity tokens, tokens that are used to convey additional identity information for a party  
140 that is part of a transaction, but not necessarily the invoker and may not be present. The service provider may need to  
141 make authorization decisions based on this additional information. An example is when Bob accesses a photo service  
142 to access Alice's photos - Alice may not be present but her identity may need to be presented by Bob using an identity  
143 token.

144 To summarize, access to an identity-based web service may be controlled at one or more points. One point is  
145 the discovery service, which will only provide service endpoint references that are appropriate to the invoker and  
146 requester. Another is at the service provider itself, which may also perform authorization decisions based on its  
147 knowledge and the tokens presented to it with a request.

148 Material specific to specific tokens is in the Security Mechanism token profiles, in particular the SAML token profile  
149 [[LibertySecMech20SAML](#)].

---

## 150 **3. Notation and Terminology**

151 This section specifies the notations, namespaces and terminology used throughout this specification. This specification  
152 uses schema documents conforming to W3C XML Schema (see [[Schema1-2](#)]) and normative text to describe the  
153 syntax and semantics of XML-encoded messages.

### 154 **3.1. Notational Conventions**

155 Note: Phrases and numbers in brackets [ ] refer to other documents; details of these references can be found in the  
156 [References](#).

157 The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT",  
158 "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC 2119  
159 [[RFC2119](#)].

160 These keywords are thus capitalized when used to unambiguously specify requirements over protocol and application  
161 features and behavior that affect the interoperability and security of implementations. When these words are not  
162 capitalized, they are meant in their natural-language sense.

### 163 **3.2. Namespace**

164 The following namespaces are referred to in this document:

165

**Table 1. Namespaces**

| Prefix  | Namespace  |
|---------|--|
| sec:    | urn:liberty:security:2006-08<br>This namespace is used for Liberty ID-WSF 2.0 Security Mechanisms.   |
| sb:     | urn:liberty:sb:2006-08<br>This namespace represents the Liberty SOAP Binding namespace (v2.0). It is defined in the Liberty SOAP Binding document, v2.0 [ <a href="#">LibertySOAPBinding</a> ].              |
| disco:  | urn:liberty:disco:2006-08<br>This namespace represents the Liberty discovery service. It is defined in [ <a href="#">LibertyDisco</a> ].   |
| saml:   | urn:oasis:names:tc:SAML:1.0:assertion<br>This namespace represents SAML 1.0 assertions. It is defined in [ <a href="#">SAMLCore11</a> ].   |
| saml2:  | urn:oasis:names:tc:SAML:2.0:assertion<br>This namespace represents SAML 2.0 assertions. It is defined in [ <a href="#">SAMLCore2</a> ].  |
| S:      | http://www.w3.org/2002/12/soap-envelope<br>This namespace represents the SOAP 1.2 namespace. It is defined in [ <a href="#">SOAPv1.2</a> ].  |
| ds:     | http://www.w3.org/2000/09/xmldsig#<br>This namespace represents the XML Signature namespace. It is defined in [ <a href="#">XMLDsig</a> ].   |
| xenc:   | http://www.w3.org/2001/04/xmlenc#<br>This namespace represents the XML Encryption namespace. It is defined in [ <a href="#">xmlenc-core</a> ].   |
| wsa:    | http://www.w3.org/2005/08/addressing<br>This namespace represents the WS-Addressing namespace. It is defined in [ <a href="#">WSAv1.0</a> ].   |
| wsse:   | http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-secext-1.0.xsd<br>This namespace represents the SOAP Message Security namespace. It is defined in [ <a href="#">wss-sms11</a> ].          |
| wsse11: | http://docs.oasis-open.org/wss/2005/xx/oasis-2005xx-wss-wssecurity-secext-1.1.xsd<br>This namespace represents the SOAP Message Security v1.1 namespace. It is defined in [ <a href="#">wss-sms11</a> ].     |
| wsu:    | http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-utility-1.0.xsd<br>This namespace represents the SOAP Message Security Utility namespace. It is defined in [ <a href="#">wss-sms11</a> ]. |
| xs:     | http://www.w3.org/2001/XMLSchema<br>This namespace represents the W3C XML schema namespace. It is defined in [ <a href="#">Schema1-2</a> ].  |
| xsi:    | http://www.w3.org/2001/XMLSchema-instance<br>This namespace represents the XML Schema instance namespace. It is defined in [ <a href="#">Schema1-2</a> ].  |

166 This specification uses the following typographical conventions in text:

167 • Elements and attributes: <Element>

168 • Data types: **A datatype**

169 • Constants: *A constant*

170 • Code:

171 <saml2:AuthnStatement...>

172 For readability, when an XML Schema type is specified to be xs:boolean, this document discusses the values as true  
173 and false rather than "1" and "0".

### 174 **3.3. Terminology**

175 Definitions for Liberty-specific terms can be found in [[LibertyGlossary](#)].

176 The following terms are defined below as an aid in understanding the participants in the message exchanges

177 • Recipient – entity which receives a message that is the ultimate processor of the message

178 • Sender – the initial SOAP sender. A sender is a proxy when its identity differs from the invocation identity.

179 • Proxy – entity whose authenticated identity, according to the recipient, differs from that of the entity making the  
180 invocation.

181 • Trusted Authority – a Trusted Third Party (TTP) that issues, and vouches for, SAML assertions

182 • Invocation Identity – party invoking a service.

183 • Service – invocation responder, providing a service. Ultimate message processor.

## 184 **4. Security Requirements (Informative)**

185 This section details the security requirements that this specification must support. This section first presents use case  
186 scenarios envisioned for identity-based web services. We then follow-up the discussion with the requirements derived  
187 from the usage scenarios.

### 188 **4.1. Security Requirements Overview**

189 There are multiple facets this security specification considers:

- 190 • Authentication of the sender
- 191 • When the sender is not the invocation identity, the proxy rights for sender to make a request on behalf of invocation  
192 identity
- 193 • Authentication of the response
- 194 • Authentication context and session status of the interacting entity
- 195 • Authorization of invocation identity to access service or resource

196 Note that the authorization mechanism draws a distinction between the invocation identity and the identity of the  
197 initial SOAP sender making a request to the identity web service. These two identities are referred to as the *invocation*  
198 *identity* and the *sender identity*, respectively. In effect, this enables a constrained proxy authorization model.

199 The importance of the distinction between invocation and sender identity lies in the service's access control policies  
200 whereby the service's decision to grant or deny access may be based on either or both identities. The degenerate case  
201 is where the invocation identity is the same as the sender identity, in which case no distinction need be made.

202 Note that a browser-based user agent interacting with some service provider does not necessarily imply that the service  
203 provider will use the user identity as the invocation identity. In some cases, the identity of the service provider may  
204 still be used for invocation.

205 The above scenarios suggest a number of requirements in order to secure the exchange of information between  
206 participants of the protocol. The following list summarizes the security requirements:

- 207 • Request Authentication
- 208 • Response Authentication
- 209 • Request/Response Correlation
- 210 • Replay Protection
- 211 • Integrity Protection
- 212 • Confidentiality Protection
- 213 • Privacy Protections
- 214 • Resource Access Authorization
- 215 • Proxy Authorization
- 216 • Mitigation of denial of service attack risks

## 217 4.2. Common Requirements

218 The following apply to all mechanisms in this specification, unless specifically noted by the individual mechanism.

- 219 • Messages may need to be kept confidential and inhibit unauthorized disclosure, either when in transit or when  
220 stored persistently. Confidentiality may apply to the entire message, selected headers, payload, or XML portions  
221 depending on application requirements.
- 222 • Messages may need to arrive at the intended recipient with data integrity. SOAP intermediaries may be authorized  
223 to make changes, but no unauthorized changes should be possible without detection. Integrity requirements may  
224 apply to the entire message, selected headers, payload, or XML portions depending on application requirements.
- 225 • The authentication of a message sender and/or initial sender may be required by a receiver to process the message.  
226 Likewise, a sender may require authentication of the response.
- 227 • Protection against replay or substitution attacks on requests and/or responses may be needed.
- 228 • The privacy requirements of the participants with respect to how their information is shared or correlated must be  
229 met.

## 230 4.3. Peer Authentication Requirements

231 The security mechanisms supported by this framework must allow for active and passive intermediaries to participate in  
232 the message exchange between end entities. In some circumstances it is necessary to authenticate all active participants  
233 in a message exchange.

234 Under certain conditions, two separate identities must be authenticated for a given request: the *invocation identity*  
235 and the *sender identity*. The degenerate case is where the identity of the message sender is to be treated as the  
236 invocation identity, and thus, no distinction between invocation identity and sender identity is required. In support  
237 of this scenario the candidate mechanism to convey identity information is client-side X.509 v3 certificates based  
238 authentication over a SSL 3.0 (see [SSL]) or TLS (see [RFC4346]) connection. Generally, this protocol framework  
239 may rely upon the authentication mechanism of the underlying transfer or transport protocol binding to convey the  
240 identity of the communicating peers.

241 However for scenarios where the sender's messages are passing through one or more intermediaries, the sender  
242 must explicitly convey its identity to the recipient by using a Web Services Security (WS-Security) token profile  
243 which specifies processing semantics in support of Proof-of-Possession. For example, the Web Services Security  
244 SAML Token Profile defines Proof-of-Possession processing semantics [wss-saml11]. Other possible bindings include  
245 Kerberos where the session key is used to sign the request.

## 246 4.4. Message Correlation Requirements

247 The messages exchanged between participants of the protocol MAY require assurance that a response correlates to its  
248 request. This may require integrity protection.

## 249 4.5. Privacy Requirements

250 Adequate privacy protections must be assured so as to inhibit the unauthorized disclosure of personally identifiable  
251 information. In addition, controls must be established so that personally identifiable information is not shared without  
252 user notification and consent and so that applicable privacy regulations are followed. This may require prescriptive  
253 steps to prevent collusion among participants in an identity network.

## 254 4.6. Service Availability Requirements

255 The system must maintain availability, requiring the implementation of techniques to prevent or reduce the risk of  
256 attacks to deny or degrade service.

## 257 **4.7. Resource Access Authorization Requirements**

258 Previously we mentioned the notion of conveying both a *sender identity* and an *invocation identity*. In doing so  
259 the framework accommodates a restricted proxy capability whereby a provider of an identity-based web service (the  
260 intermediate system entity or proxy) can act on behalf of another system entity (the subject) to access an identity-based  
261 web service (the recipient). To be granted the right to proxy for a subject, the intermediate system entity may need  
262 to interact with a trusted authority. Based on the authority's access control policies, the authority may generate and  
263 return an assertion authorizing the provider to act on behalf of the subject to the recipient. This protocol framework  
264 can only convey authoritative information regarding the identities communicated to other system entities. Even with  
265 the involvement of a trusted authority that makes authorization decisions permitting a provider to access a web service  
266 on behalf of another party, the final service provider should still implement a policy enforcement point.

## 267 5. Confidentiality and Privacy Mechanisms

268 Some of the service interactions described in this specification include the conveyance of information that is only  
269 known by a trusted authority and the eventual recipient of a resource access request. This section specifies the schema  
270 and measures to be employed to attain the necessary confidentiality and privacy controls.

### 271 5.1. Transport Layer Channel Protection

272 When communicating peers interact directly (i.e. no active intermediaries in the message path) then transport layer  
273 protection mechanisms may suffice to ensure the integrity and confidentiality of the message exchange.

274 • Messages between sender and recipient **MUST** have their integrity protected and confidentiality **MUST** be ensured.  
275 This requirement **MUST** be met with suitable SSL/TLS cipher suites. The security of the SSL or TLS session  
276 depends on the chosen cipher suite. An entity that terminates an SSL or TLS connection needs to offer (or accept)  
277 suitable cipher suites during the handshake. The following list of TLS 1.0 cipher suites (or their SSL 3.0 equivalent)  
278 is **RECOMMENDED**.

279 • TLS\_RSA\_WITH\_RC4\_128\_SHA

280 • TLS\_RSA\_WITH\_3DES\_EDE\_CBC\_SHA

281 • TLS\_DHE\_DSS\_WITH\_3DES\_EDE\_CBC\_SHA

282 The above list is not exhaustive. The recommended cipher suites are among the most commonly used. New  
283 cipher suites using the Advanced Encryption Standard have been standardized by the IETF [[RFC3268](#)] and are  
284 just beginning to appear in TLS implementations. It is anticipated that these AES-based cipher suites will be  
285 widely adopted and deployed.

286 • TLS\_RSA\_WITH\_AES\_CBC\_SHA

287 • TLS\_DHE\_DSS\_WITH\_AES\_CBC\_SHA

288 For signing and verification of protocol messages, communicating entities **SHOULD** use certificates and private  
289 keys that are distinct from the certificates and private keys applied for SSL or TLS channel protection.

290 • Other security protocols (e.g. Kerberos, IPSEC) **MAY** be used as long as they implement equivalent security  
291 measures.

### 292 5.2. Message Confidentiality Protection

293 In the presence of intermediaries, communicating peers **MUST** ensure that sensitive information is not disclosed to  
294 unauthorized entities. To fulfill this requirement, peers **MUST** use the confidentiality mechanisms specified in [[wss-  
295 sms11](#)] to encrypt the SOAP envelope <S:Body> content.

296 Please note that this mechanism does not fully address the privacy and confidentiality requirements of information  
297 supplied by a trusted authority which is subsequently carried in the <S:Header> which is not to be revealed to  
298 the entity interacting with the recipient. For example the authorization data may contain sensitive information.  
299 To accommodate this requirement the trusted authority and ultimate recipient **SHOULD** rely upon the mechanisms  
300 specified in [Encrypted Name Identifiers \(Section 5.3.1\)](#).

### 301 5.3. Identifier Privacy Protection

302 Under certain usage scenarios the information conveyed by the Trusted Authority for consumption by the identity-  
303 based web service may contain privacy sensitive data. However, this data generally passes through the system entity  
304 accessing the particular identity-based web service. One example is the name identifier from the federated namespace  
305 of the authority and the identity-based web service. Another sensitive data item may be the target identity header,  
306 which may have message level encryption applied for confidentiality (SOAP Message Security encryption).

307 **5.3.1. Encrypted Name Identifiers**

308 The identifier conveyed in the subject **MUST** be resolvable in the namespace of the consuming service instance.  
309 However, this requirement is in conflict with the need to protect the privacy of the identifier when the message passes  
310 through intermediaries.

311 The Security Mechanisms SAML profile describes how to accomplish this.

## 312 6. Authentication and Integrity Mechanisms

313 This specification defines a set of authentication and integrity mechanisms, labeled by URIs, to support various security  
314 requirements. Multiple mechanisms are specified accommodate various deployment scenarios. Authentication may  
315 be performed at different protocol layers, or in combination, resulting in different properties. In addition, different  
316 mechanisms may be used at each layer. The two authentication layers that are specified in this document include:

- 317 • Peer Entity Authentication
- 318 • Message Authentication

319 These mechanisms may provide integrity, confidentiality and authentication, but the peer mechanism does not provide  
320 end to end integrity or confidentiality in the presence of SOAP intermediaries.

321 In each case the URN is constructed in a manner to summarize various information about the mech-  
322 anism, similar in concept to SSL/TLS CipherSuites. In particular, the URN is created as follows:  
323 urn:liberty:security:DATE:PEER:MESSAGE The DATE is associated with one or more versions of ID-WSF,  
324 and is defined in the form *yyyy-mm*. PEER indicates the kind of peer authentication in effect (if any), and MESSAGE  
325 indicates the form of message authentication (if any).

326 For either of the PEER or MESSAGE properties a value of "null" indicates that the particular security property is not  
327 required by the mechanism.

328 The following DATE values have been defined:

329 **Table 2. Authentication Mechanism Versions**

| DATE    | ID-WSF version    |
|---------|-------------------|
| 2003-08 | ID-WSF 1.0        |
| 2004-04 | ID-WSF 1.0 Errata |
| 2005-02 | ID-WSF 1.1        |
| 2006-08 | ID-WSF 2.0        |

330 New version URNs are only defined if necessary, otherwise earlier URNs should be used. Thus for given functionality,  
331 the latest version URN should be used appropriate for the ID-WSF release.

332 The following PEER mechanisms have been defined:

333 **Table 3. Peer Authentication Mechanisms**

| PEER             | Mechanism                                      |
|------------------|--|
| <i>null</i>      | None   |
| <i>TLS</i>       | Peer recipient (SSL/TLS server) authentication |
| <i>ClientTLS</i> | Mutual Peer authentication                     |

334 For the peer entity authentication property, the qualifier indirectly indicates which actor(s) is authenticated in a given  
335 interaction.

336 The following MESSAGE mechanisms have been defined:

337

**Table 4. Message Authentication Mechanisms**

| <b>MESSAGE</b>    | <b>Mechanism</b>   |
|-------------------|--|
| <i>null</i>       | None   |
| <i>SAML</i>       | Use of SAML 1.x assertions in conjunction with SOAP Message Security, as outlined in earlier versions of the Security Mechanisms specification.  |
| <i>SAMLV2</i>     | Use of SAML 2.0 assertions in conjunction with SOAP Message Security, as outlined in the Security Mechanisms SAML profile.   |
| <i>X509</i>       | SOAP Message Security X509 Token Profile invoker authentication  |
| <i>Bearer</i>     | Bearer token invoker authentication  |
| <i>peerSAMLV2</i> | Use of SAML 2.0 assertions in conjunction with SOAP Message Security, with a PEER layer key as the confirmation key, for example the client SSL/TLS key. This mechanism is intended to be used when the message is not signed. |

338 The MESSAGE authentication qualifier describes the security profile utilized to secure the message. Note that not  
 339 all message layer authentication mechanisms require the token to be cryptographically bound to the message at the  
 340 message layer. Bearer tokens, specifically, do not require the token to be bound to the message.

341 When SAML assertions are used for the SAMLV2, peerSAMLV2 or Bearer MESSAGE mechanisms, the following  
 342 SAML 2.0 Confirmation Method attribute values correspond to the Security Mechanism identifiers:

343

**Table 5. Confirmation Methods for Mechanisms using SAML 2.0**

| <b>MESSAGE</b>    | <b>SAML 2.0 Confirmation Method</b>          |
|-------------------|--|
| <i>SAMLV2</i>     | urn:oasis:names:tc:SAML:2.0:cm:holder-of-key |
| <i>Bearer</i>     | urn:oasis:names:tc:SAML:2.0:cm:bearer        |
| <i>peerSAMLV2</i> | urn:oasis:names:tc:SAML:2.0:cm:holder-of-key |

344 The following table summarizes the authentication mechanism identifiers defined as of the publication of this  
 345 specification. Specifically, [SAMLCore11] based identifiers were defined in previous versions of this specification  
 346 [LibertySecMech11] and [LibertySecMech12].

347

**Table 6. Authentication Mechanisms**

| Mechanism   | Peer Entity | Message          |
|---|-------------|------------------|
| urn:liberty:security:2003-08:null:null            | No          | No               |
| urn:liberty:security:2005-02:null:X509            | No          | Yes              |
| urn:liberty:security:2005-02:null:SAML            | No          | Yes              |
| urn:liberty:security:2006-08:null:SAMLV2          | No          | Yes              |
| urn:liberty:security:2005-02:null:Bearer          | No          | Yes <sup>1</sup> |
| urn:liberty:security:2003-08:TLS:null             | Recipient   | No               |
| urn:liberty:security:2005-02:TLS:X509             | Recipient   | Yes              |
| urn:liberty:security:2005-02:TLS:SAML             | Recipient   | Yes              |
| urn:liberty:security:2006-08:TLS:SAMLV2           | Recipient   | Yes              |
| urn:liberty:security:2005-02:TLS:Bearer           | Recipient   | Yes <sup>2</sup> |
| urn:liberty:security:2003-08:ClientTLS:null       | Mutual      | No               |
| urn:liberty:security:2005-02:ClientTLS:X509       | Mutual      | Yes              |
| urn:liberty:security:2005-02:ClientTLS:SAML       | Mutual      | Yes              |
| urn:liberty:security:2006-08:ClientTLS:SAMLV2     | Mutual      | Yes              |
| urn:liberty:security:2005-02:ClientTLS:Bearer     | Mutual      | Yes <sup>2</sup> |
| urn:liberty:security:2006-08:ClientTLS:peerSAMLV2 | Mutual      | Yes <sup>3</sup> |

348 <sup>1</sup> The bearer token is not bound to the message and is not protected by the TLS mechanism in this case.

349 <sup>2</sup> The bearer token is not bound to the message at the SOAP Message layer. It is integrity and confidentiality protected by TLS for a single TLS  
350 link, assuming correct ciphersuite use, but not protected end-end if the SOAP message traverses SOAP intermediaries.

351 <sup>3</sup> The SSL/TLS client key is also the message confirmation key. The token does not need to be examined to determine the key when this Security  
352 Mechanisms URI is known.

## 353 6.1. Authentication Mechanism Overview (Informative)

354 The above table depicts the various authentication mechanism identifiers and the authentication properties they exhibit.  
355 A description of the setting in which a particular mechanism should be deployed is out of scope for this specification.  
356 However, this section describes the characteristics of the class of mechanism and general circumstances whereby the  
357 deployment of a given mechanism may be appropriate.

358 The identifier, *urn:liberty:security:2003-08:null:null*, does not exhibit any security properties and is defined here for  
359 completeness. However one can envision a deployment setting in which access to a resource does not require rigor in  
360 authenticating the entities involved in an interaction. For example, this might apply to a weather reporting service.

361 The peer entity authentication mechanisms defined by this specification leverage the authentication features supplied  
362 by SSL 3.0 [SSL] or TLS [RFC4346]. The mechanism identifier describes whether the recipient ("TLS") is unilaterally  
363 authenticated or whether each communicating peer ("ClientTLS") is mutually authenticated to the other peer. The peer  
364 entity authentication mechanisms (Section 6.2) are best suited for direct message exchanges between end systems and  
365 when the message exchange may be sufficiently trusted to not require additional attestation of the message payload.  
366 However this does not obviate the processing of subject confirmation obligations but rather enables alternative and  
367 potentially optimized processing rules. Such optimizations are a matter of security policy as it applies to the trust  
368 model in place between communicating entities.

369 The message authentication mechanisms indicate which attestation profile is utilized to ensure the authenticity of a  
370 message. These message authentication facilities aid the deployer in the presence of intermediaries. The different  
371 message authentication mechanisms are suited (but not necessarily restricted) to different authorization models:

- 372 • The X.509 v3 Certificate mechanism ([Section 6.4](#)) is suited for message exchanges that generally rely upon  
373 message authentication as the principle factor in allowing the recipient to make authorization decisions.
- 374 • The SAML Assertion mechanism (See the SechMech SAML profile [[LibertySecMech20SAML](#)] ) is suited for  
375 message exchanges that generally rely upon message authentication as well as the conveyance and attestation of  
376 authorization information in order to allow the recipient to make authorization decisions.
- 377 • The Bearer mechanism ([Section 6.5](#)) is used to convey the authenticated identity of an invoker with a message.  
378 The bearer token need not be bound to the message with a signature.

379 Each operational setting has its own security and trust requirements and in some settings the issuance of bearer tokens  
380 by a security token service, such as [[LibertyDisco](#)] may greatly simplify the sender's processing obligations. For  
381 example, when the Discovery service indicates that a bearer mechanism is supported and issues a bearer token, the  
382 sender can simply populate the security header with the token and send the request. However this does not necessarily  
383 obviate the requirement for the recipient to process and verify the bearer token. Such an optimization is a matter of  
384 security policy as it applies to the trust model in place between the communicating entities.

385 Not all peer entity authentication and message authentication combinations make sense in a given setting. Again this  
386 is a matter of security policy and the trust model policy accords. For example, in a conventional setting where peer  
387 entity authentication is relied upon to ensure the authenticity, confidentiality and integrity of the transport in con-  
388 junction with message authentication to assure message authorship, intent and retention of the act of attestation then  
389 the mechanism *urn:liberty:security:2005-02:ClientTLS:X509* is relevant. However, such a combination may make  
390 little sense when peer entity authentication is relied upon to imply message authentication. For example, the mecha-  
391 nism *urn:liberty:security:2005-02:ClientTLS:X509* seems equivalent to *urn:liberty:security:2003-08:ClientTLS:null*  
392 in such a setting. A similar argument can be made for the SAML mechanisms ( *urn:liberty:security:2005-  
393 02:ClientTLS:SAML* or *urn:liberty:security:2006-08:ClientTLS:SAMLV2*). The relationship between the identity  
394 authenticated as a result of peer entity authentication and the identity authenticated (or implied) from message au-  
395 thentication may diverge and describe two distinct system entities for example, a system principal and a user principal  
396 respectively. The identities may also be required to reflect the same system entities. This is a matter of deployment  
397 and operational policy and is out of scope for this specification.

## 398 **6.2. Peer Entity Authentication and Integrity**

399 The Peer entity authentication mechanisms supported by this specification all rely upon the inherent security properties  
400 of the TLS/SSL protocol (sometimes referred to as transport-level security); the different mechanisms are differentiated  
401 by how the peers are authenticated. The mechanisms described below have distinct security properties regarding which  
402 peers in a message exchange are authenticated. SSL/TLS transport level security is designed to provide integrity  
403 protection in conjunction with authentication. Note that peer authentication may not provide adequate integrity,  
404 confidentiality or authentication when SOAP intermediaries are part of the message path and end-to-end security is  
405 required. In this case Message level security may be used in place of, or in conjunction with peer entity authentication,  
406 as appropriate.

407 For the mechanisms that include both peer entity authentication and message authentication, optimizations regarding  
408 attestation MAY be employed. For example, in environments where there is no requirement that a signature attesting  
409 to the authenticity of the message be retained, then it may be sufficient to rely upon the security properties of peer  
410 entity authentication to assure the integrity and authenticity of the message payload with no additional message layer  
411 signature.

### 412 **6.2.1. Unilateral Peer Entity Authentication**

413 The semantics and processing rules for mechanisms with PEER having the value of TLS are described in this section.  
414 These URIs support unilateral (recipient) peer entity authentication and are of the form: *urn:liberty:security:2003-*  
415 *08:TLS:MESSAGE* where MESSAGE may vary depending on the message authentication mechanism deployed (e.g.  
416 may be null, X509 etc).

417 The primary function of the TLS mechanism is to provide for the authentication of the receiving entity and to leverage  
418 confidentiality and integrity features at the transport layer.

#### 419 **6.2.1.1. Processing Rules**

420 These mechanisms MUST implement TLS/SSL end entity authentication in accordance with the TLS/SSL specifica-  
421 tions and employing a cipher suite based on X.509 certificates, requiring the following:

- 422 • The sender MUST authenticate the recipient.
- 423 • The recipient MUST authenticate using X.509 v3 certificates by demonstrating possession of the key bound to its  
424 certificate in accordance with the processing rules and semantics of the TLS/SSL protocol.
- 425 • Statements about CipherSuites are provided in [Channel Protection \(Section 5.1\)](#).

#### 426 **6.2.2. Mutual Peer Entity Authentication**

427 The semantics and processing rules for mechanisms with PEER having the value of ClientTLS are described in  
428 this section. These URIs support mutual (sender and recipient) peer entity authentication and are of the form:  
429 *urn:liberty:security:2003-08:ClientTLS:MESSAGE* where MESSAGE may vary depending on the message authenti-  
430 cation mechanism deployed (e.g. may be null, X509 etc).

431 The primary function of these mechanisms is to provide for the mutual authentication of the communicating peers and  
432 to leverage confidentiality and integrity features at the transport layer.

433 As noted in the previous section on unilateral message authentication, bearer mechanisms do not necessarily provide  
434 message authentication and for this reason may be used in conjunction with mechanisms that do provide message  
435 authentication. In this case the bearer token MUST be used to determine the invoker identity for authorization  
436 decisions.

#### 437 **6.2.2.1. Processing Rules**

438 These mechanisms MUST implement TLS/SSL end entity authentication in accordance with the TLS/SSL specifica-  
439 tions and employing a cipher suite based on X.509 certificates, requiring the following

- 440 • The sender MUST authenticate the recipient AND the recipient MUST authenticate the sender.
- 441 • The recipient MUST authenticate using X.509 v3 certificates by demonstrating possession of the key bound to its  
442 certificate in accordance with the processing rules and semantics of the TLS/SSL protocol.
- 443 • The sender MUST authenticate using X.509 v3 certificates by demonstrating possession of the key bound to its  
444 certificate in accordance with the processing rules and semantics of the TLS/SSL protocol.

445 Note that these X.509 certificates are those associated with SSL/TLS, and not necessarily associated with the WSS  
446 X.509 token profile.

### 447 **6.3. Message Authentication and Integrity**

448 The non-null message authentication mechanisms prescribed by this specification generally rely upon the integrity  
449 properties obtained by using the OASIS standard SOAP Message Security mechanism in conjunction with a specified  
450 OASIS standard token profile. These mechanisms generally rely on the use of XML Signature technology as profiled  
451 by the OASIS specifications.

452 Message authentication mechanisms have distinct security properties regarding authenticity of a given message. For  
453 the mechanisms that include both peer entity authentication and message authentication, optimizations regarding  
454 attestation MAY be employed. For example, in environments where there is no requirement that a signature attesting  
455 to the authenticity of the message be retained, then it may be sufficient to rely upon the security properties of peer  
456 entity authentication to assure the integrity and authenticity of the message payload with no additional message layer  
457 signature.

458 The processing rules and requirements apply to all mechanisms used for Message Authentication where the token is  
459 bound to the message (i.e. this section does not apply to bearer tokens when they are not bound to the message).  
460 Additional requirements and processing rules may apply to a token as described for that specific token type, either in  
461 this specification or in a SecMech profile.

462 The message authentication mechanisms described in SecMech and its profiles are unilateral. That is, only the sender  
463 of the message is authenticated. It is not in the scope of this specification to suggest when response messages  
464 should be authenticated, but it is worth noting that the WSS X.509 mechanisms defined in [Section 6.4](#) could be  
465 relied upon to authenticate any response message as well. Deployers should recognize, however, that independent  
466 authentication of response messages does not provide the same message stream protection semantics as a mutual peer  
467 entity authentication mechanism.

#### 468 **6.3.1. Token Container**

469 A token container type is defined to provide a uniform means to convey tokens, and allows a Web Services Security  
470 token to be directly contained in the container, or to be referenced from the container. A reference may be an external  
471 reference to an token or a reference to another local token container.

472 The token container type (`TokenType`) is used to define elements in the ID-WSF namespace, including the following  
473 elements:

- 474 • `InvokingIdentity` header element
- 475 • `TargetIdentity` header element

476 In addition, a `<Token>` element is defined and should be used in the following locations:

- 477 • IdP Token Service Responses and in some cases inputs
- 478 • People Service Responses
- 479 • Liberty's profile of the EPR in the `Metadata SecurityContext` element.

480 The following schema fragment describes the `TokenType` type and the corresponding `<Token>` element:

```
481
482     <!--
483 TokenType can refer to an external token using the ref attribute (no
484 element content) or contain a Web Services Security token, or a WSS
485 Security Token Reference (STR) element
486 -->
487
488 <xs:complexType name="TokenType">
489   <xs:sequence>
490     <xs:any namespace="##any" processContents="lax"
491       minOccurs="0" maxOccurs="unbounded" />
492   </xs:sequence>
493   <xs:attribute name="id" type="xs:ID" use="optional" />
494   <xs:attribute name="ref" type="xs:anyURI" use="optional" />
495   <xs:attribute name="usage" type="xs:anyURI" use="optional" />
496 </xs:complexType>
497
498 <xs:element name="Token" type="sec:TokenType" />
499
500
```

501 This specification defines the following URN values for the `usage` attribute (others may be defined elsewhere):

- 502 • `urn:liberty:security:tokenusage:2006-08:InvocationIdentity`
- 503 • `urn:liberty:security:tokenusage:2006-08:TargetIdentity`
- 504 • `urn:liberty:security:tokenusage:2006-08:SecurityToken`

505 In each case the content of the element is the token that would be used to create the corresponding SOAP header by the  
506 Discovery Service. The `InvocationIdentity` would be used to create an `Invocation Identity` header, the `TargetIdentity`  
507 for a `Target Identity` header and a `SecurityToken` to be placed within a `wsse:Security` header.

508 The following examples demonstrate the use of the `<Token>` element and the `TokenType` type:

- 509 • Token carrying a saml assertion:

```
510   <Token id="x123" >
511     <saml2:Assertion id="x345" ...>
512       ...
513     </saml2:Assertion>
514   </Token>
515
516
```

- 517 • Token referring to a Web Service Security token, either somewhere else in a message (local) or to an external  
518 token:

```
519   <Token id="local-reference1" ref="#123" />
520   ...
521   <Token id="external-reference1" ref="http://somehost/gettoken" />
522
523
```

524 When an element of token container type (e.g. a `<Token>` element) references a `<Token>` element the reference  
525 MUST be to the `<Token>` element itself.

- 526 • Token carrying a Web Service Security security token reference (wsse:SecurityTokenReference) for an external  
527 token.

528 A security token reference MUST only be used within an element of TokenType when that element is to be  
529 transmitted to a party as part of a web service message, and where that party will dereference the STR to locate  
530 the security token. A security token reference MUST only be an external reference.

531 This reference would be used to support an "artifact"-like model, where the discovery service returns the STR in  
532 the EPR and which the WSC places the STR (without dereference) into the security header of the message to the  
533 WSP.

```
534 <Token id="x678" >  
535   <wsse:SecurityTokenReference wsu:ID="x789"  
536     wsse:TokenType="http://...#SAMLV2.0" >  
537     <wsse:Reference URI="https://...?ID=x2323" />  
538   </wsse:SecurityTokenReference>  
539 </Token>
```

### 542 6.3.2. Message Integrity rules for senders and receivers

543 This section only applies if SOAP message security is used for a message bound to SOAP (i.e. is a "SOAP-bound-ID-  
544 message") according to the Liberty SOAP Binding (v2.0) [[LibertySOAPBinding](#)].

545 In this case the sender MUST create a single <ds:Signature> contained in the <wsse:Security> header and this  
546 signature MUST reference all of the message components required to be signed.

547 In particular, this signature MUST reference the SOAP Body element (the element itself), the security token associated  
548 with the signature, and all headers in the message that have been defined in the Liberty SOAP Bindings specification,  
549 including both required and optional header blocks [[LibertySOAPBinding](#)].

550 An example security token is a <saml2:Assertion> element conveyed in the <wsse:Security> header.

551 The wsu:Timestamp header in the wsse:Security header block, the wsu:MessageID, wsu:RelatesTo, sb:Framework,  
552 sb:Sender and sb:InvocationIdentity header blocks are examples of header elements that would be referenced in a  
553 signature.

554 Note that care must be taken when constructing elements contained in Reference Parameters in Endpoint References,  
555 as these will be promoted to SOAP header blocks. Effort should be taken to avoid conflicting or duplicate id attributes,  
556 for example by using techniques to generate ids where it is highly likely that they are unique.

557 If the message is signed the sender MUST include the resultant XML signature in a <ds:Signature> element as a  
558 child of the <wsse:Security> header.

559 The <ds:Signature> element MUST refer to the subject confirmation key with a <ds:KeyInfo> element.  
560 The <ds:KeyInfo> element MUST include a <wsse:SecurityTokenReference> element so that the subject  
561 confirmation key can be located within the <wsse:Security> header. The inclusion of the reference SHOULD  
562 adhere to the guidance specified in section 3.4.2 of [[wss-saml11](#)] (section 3.3.2 of [[wss-saml](#)]).

### 563 6.3.3. Common Sender Processing Rules

564 • The construction and decoration of the <wsse:Security> header element MUST adhere to the rules specified in  
565 the [[wss-sms11](#)].

566 • The <wsse:Security> header element MUST have a mustUnderstand attribute with logical value true.

567 • The sender MUST place the message authentication security token as a direct child of the `<wsse:Security>`  
568 element.

569 • The sender MUST follow the message integrity rules outlined in the previous section [Message Integrity rules for](#)  
570 senders and receivers ([Section 6.3.2](#)) when message authentication mechanisms are used.

571 The following considerations do not apply to Bearer tokens:

572 • For deployment settings which REQUIRE independent message authentication, the obligation MUST be accom-  
573 plished by signing the message body and portions of the header and placing the `<ds:Signature>` as a direct  
574 child of the `<wsse:Security>` header.

575 For deployment settings which DO NOT REQUIRE independent message authentication then the subject confirma-  
576 tion obligation may be accomplished by correlating the certificate and key used to affect peer entity authentication  
577 with the certificate and key described by the message authentication token. To accommodate this, the assertion  
578 issuing authority MUST construct the assertion such that the confirmation key can be unambiguously verified to  
579 be the same certificate and key used in establishing peer entity authentication. This is necessary to mitigate the  
580 threat of a certificate substitution attack. It is RECOMMENDED that the certificate or certificate chain be bound  
581 to the subject confirmation key.

#### 582 6.3.4. Common Recipient Processing Rules

583 • The recipient MUST locate the `<wsse:Security>` element for which it is the target. This MUST adhere to the  
584 rules specified in WSS [[wss-sms11](#)] and the applicable WSS token profiles (e.g. [[wss-saml](#)] for SAML tokens).

585 • The `<wsse:Security>` header element MUST have a `mustUnderstand` attribute with logical value `true` and  
586 the recipient must be able to process this header block according to WSS [[wss-sms11](#)] and the appropriate WSS  
587 token profiles (e.g. for SAML the SAML token profile [[wss-saml](#)]).

588 • The recipient MUST locate the security token and the recipient MUST determine that it trusts the authority which  
589 issued the token.

590 The recipient MUST validate the issuer's signature over the token. This validation MUST conform to the core  
591 validation rules described in [[XMLDsig](#)]. The recipient SHOULD validate the trust semantics of the signing key,  
592 as appropriate to the risk of incorrect authentication.

593 • If the message has been signed then the recipient MUST locate the `<ds:Signature>` element carried inside the  
594 `<wsse:Security>` header.

595 Unless the security mechanism is `peerSAMLV2` the recipient MUST resolve the contents of the `<ds:KeyInfo>`  
596 element carried within the `<ds:Signature>` and use the key it describes for validating the signed elements. When  
597 the security mechanism is `peerSAMLV2` the key is the client key used in SSL/TLS client authentication.

598 • The sender MUST follow the message integrity rules outlined in the previous section [Message Integrity rules for](#)  
599 senders and receivers ([Section 6.3.2](#)) when message authentication mechanisms are used.

#### 600 6.4. WSS X.509 Token Authentication

601 The semantics and processing rules for mechanisms with MESSAGE having the value of X509 are described in this  
602 section. These URIs support unilateral (sender) message authentication and are of the form:

603 • `urn:liberty:security:2003-08:PEER:X509` where PEER may vary depending on the peer authentication mecha-  
604 nism deployed (e.g. may be null, TLS etc).

605 The WSS X509 message authentication mechanism uses the Web Services Security X.509 Certificate Token Profile  
606 [wss-x509] as the means by which the message sender authenticates to the recipient. These message authentication  
607 mechanisms are unilateral. That is, only the sender of the message is authenticated. It is not in the scope of this  
608 specification to suggest when response messages should be authenticated but it is worth noting that this mechanism  
609 could be relied upon to authenticate the response message as well. Deployers should recognize, however, that  
610 independent authentication of response messages does not provide the same message stream protection semantics  
611 as a mutual peer entity authentication mechanism would offer.

612 For deployment settings that require message authentication independent of peer entity authentication, then the sending  
613 peer MUST perform message authentication by demonstrating proof of possession of the key associated with the X.509  
614 token. This key MUST be recognized by the recipient as belonging to the sending peer.

615 When the sender wields the subject confirmation key to sign elements of the message the signature ensures the  
616 authenticity and integrity of the elements covered by the signature. However, this alone does not mitigate the threat  
617 of replay, insertion and certain classes of message modification attacks. To secure the message from such threats, one  
618 of the mechanisms which support peer entity authentication (see Section 6.2) MAY be used or the underlying SOAP  
619 binding request processing model MUST address these threats.

### 620 6.4.1. Sender Processing Rules

621 These rules are in addition to the generic message authentication processing rules specified in this document.

622 • The sender MUST demonstrate possession of the private key associated with the signature generated in conjunction  
623 with the WSS X509 token profile.

624 For deployment settings which REQUIRE independent message authentication, the obligation MUST be accom-  
625 plished by signing portions of the message as appropriate and recording information in the <wsse:Security>  
626 header as outlined in [wss-sms11].

627 For deployment settings which DO NOT REQUIRE independent message authentication then the sender MUST  
628 accomplish this obligation by decorating the security header with a <ds:KeyInfo> element bearing the certificate.  
629 This MUST be unambiguously verified to be the same certificate and key used in establishing peer entity  
630 authentication. This is necessary to mitigate the threat of a certificate substitution attack. Also note that this  
631 optimization only applies to *ClientTLS:X509* mechanisms.

### 632 6.4.2. Recipient Processing Rules

633 • If the validation policy regards peer entity authentication sufficient for purposes of authentication then the recipient  
634 MUST establish the correspondence of the certificate and key used to establish peer authentication with the  
635 corresponding key information conveyed in the message. This allows the message recipient to determine that  
636 the message sender intended a particular transport authenticated identity to be used. Information relating the  
637 SSL/TLS key to the message MAY be conveyed in the message using an OASIS SOAP Message Security X.509  
638 security token.

### 639 6.4.3. X.509 v3 Message Authentication

640 The following example demonstrates the X.509 v3 message authentication mechanism.

```
641 <?xml version="1.0" encoding="UTF-8"?>
642 <s:Envelope xmlns:s="http://schemas.xmlsoap.org/soap/envelope/"
643     xmlns:sb="urn:liberty:sb:2006-08"
644     xmlns:pp="urn:liberty:id-sis-pp:2003-08"
645     xmlns:sec="urn:liberty:security:2006-08"
646     xmlns:wsse="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecur-
647 ity-secect-1.0.xsd"
648     xmlns:wsu="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-utility-
649 1.0.xsd"
650     xmlns:wsa="http://www.w3.org/2005/08/addressing">
```

```

652 <s:Header>
653   <!-- see Liberty SOAP Binding Specification for which headers
654   are required and optional -->
655
656   <wsa:MessageID wsu:Id="mid">...</wsa:MessageID>
657
658   <wsa:To wsu:Id="to">...</wsa:To>
659
660   <wsa:Action wsu:Id="action">...</wsa:Action>
661
662   <wsse:Security mustUnderstand="1">
663
664     <wsu:Timestamp wsu:Id="ts">
665       <wsu:Created>2005-06-17T04:49:17Z</wsu:Created >
666     </wsu:Timestamp>
667
668     <wsse:BinarySecurityToken
669       ValueType="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-x509-token-
670 profile-1.0#X509v3"
671       wsu:Id="X509Token"
672       EncodingType="http://docs.oasis-is-open.org/wss/2004/01/oasis-200401-wss-soap-message-securi
673 ty-1.0#Base64Binary">
674       MIIB9zCCAWSgAwIBAgIQ...
675     </wsse:BinarySecurityToken>
676
677     <ds:Signature xmlns:ds="http://www.w3.org/2000/09/xmldsig#">
678       <ds:SignedInfo>
679
680         <!-- in general include a ds:Reference for each wsa: header
681         added according to SOAP binding -->
682
683         <!-- include the MessageID in the signature -->
684         <ds:Reference URI="#mid">...</ds:Reference>
685
686         <!-- include the To in the signature -->
687         <ds:Reference URI="#to">...</ds:Reference>
688
689         <!-- include the Action in the signature -->
690         <ds:Reference URI="#action">...</ds:Reference>
691
692         <!-- include the Timestamp in the signature -->
693         <ds:Reference URI="#ts">...</ds:Reference>
694
695         <!-- bind the security token (thwart cert substitution attacks) -->
696         <ds:Reference URI="#X509Token">
697           <ds:DigestMethod Algorithm="http://www.w3.org/2000/09/xmldsig#sha1"/>
698           <ds:DigestValue>Ru4cAfeBABE...</ds:DigestValue>
699         </ds:Reference>
700
701         <!-- bind the body of the message -->
702         <ds:Reference URI="#MsgBody">
703           <ds:DigestMethod Algorithm="http://www.w3.org/2000/09/xmldsig#sha1"/>
704           <ds:DigestValue>YgGfs0pi56pu...</ds:DigestValue>
705         </ds:Reference>
706       </ds:SignedInfo>
707       <ds:KeyInfo>
708         <wsse:SecurityTokenReference>
709           <wsse:Reference URI="#X509Token" />
710         </wsse:SecurityTokenReference>
711       </ds:KeyInfo>
712       <ds:SignatureValue>
713         HJJWbvqW9E84vJVQkjjLLA6nNvBX7mY0 0TZhwBdFNDElgsccSXZ5Ekw==
714       </ds:SignatureValue>
715     </ds:Signature>
716   </wsse:Security>
717 </s:Header>
718 <s:Body wsu:Id="MsgBody">

```

```
719     <pp:Modify>
720     <!-- this is an ID-SIS-PP Modify message -->
721     </pp:Modify>
722 </s:Body>
723 </s:Envelope>
724
725
```

## 726 6.5. Bearer Token Authentication

727 The Bearer mechanism is used to convey the authenticated identity of an invoker with a message. The mechanism  
728 is based on the presence of a *bearer token* in the security header of a message. A bearer token may include the  
729 endpoint reference for the discovery resource to which it applies, as well as the intended recipient of the assertion, so  
730 the scope of the assertion may be limited even though it is not bound to a specific message. In this situation, the bearer  
731 token is verified for authenticity and contributes to authorization decisions rather than being used to demonstrate the  
732 authenticity of the message.

733 The Bearer mechanism does not necessarily provide message authentication, since bearer tokens need not be bound to  
734 the message with a cryptographic signature. For this reason, if message authentication is desired a bearer mechanism  
735 may be used in conjunction with another mechanism used for message authentication, such as an X.509-based  
736 mechanism. In this case the Bearer mechanism **MUST** be used to determine the invocation identity. (If the message  
737 authentication identity differs, it may be assumed to be the sender, who may be different from the invoker).

738 Bearer token functionality may be implemented using different types of tokens, including tokens defined in OASIS  
739 SOAP Message Security [[wss-sms11](#)], such as WSS Binary Security Tokens (<wsse:BinarySecurityToken>),  
740 and WSS Token profiles (X.509 token profile [[wss-x509](#)] or SAML token profiles [[wss-saml11](#)] for example). Custom  
741 tokens or tokens which are subsequently profiled after this specification is finalized could still leverage the bearer  
742 mechanism providing the `wsse:ValueType` is understood by the producer and consumer of the token. See the  
743 Custom Bearer Token example ([Section 6.5.3.1](#)).

744 The use of a bearer authentication mechanism is specified using a SecMech URN with a MESSAGE value of `Bearer`.  
745 Such a bearer authentication mechanism supports unilateral (invoker) entity authentication. The URN is of the  
746 form `urn:liberty:security:2003-08:PEER:Bearer`. PEER may vary depending on the peer authentication mechanism  
747 deployed (e.g. may be null, TLS etc). Note that such URIs indicate that a bearer mechanism is in use, but do not  
748 specify which exact specific bearer token instance is in use (e.g. SAML 2 assertion, binary security token, etc).

749 The type of bearer token must either be recognized from the schema of the token, as for example with a SAML  
750 assertion, or from a ValueType attribute associated with the token, as for example with a WSS BinarySecurityToken.

751 This section defines normative requirements that apply in general to all bearer tokens. Additional detailed normative  
752 requirements and semantics related to a specific bearer token type may be defined in a profile for that type. A profile  
753 is not always required.

754 Specifically, the SecMech SAML Profile [[LibertySecMech20SAML](#)] defines additional normative requirements when  
755 using SAML 2 assertions as bearer tokens. This core document provides normative requirements on the use of Binary  
756 Security Tokens, see [Section 6.5.3](#).

757 The following are general normative statements regarding the use of bearer tokens:

- 758 • A SAML 2 assertion may be used directly as a bearer token, when placed within a (<wsse:Security>) header  
759 block. This usage is defined in the SecMech SAML profile [[LibertySecMech20SAML](#)].
- 760 • A bearer token **MUST** appear within the <wsse:Security> header of a message. That <wsse:Security>  
761 header **MUST** be targeted at the recipient SOAP node to be used in authorization decisions by that entity.

762 • Note that the integrity, authenticity or confidentiality of the bearer token may not be protected when the bearer  
763 token is neither signed nor encrypted at the message layer and secure end-to-end transport is not used. For this  
764 reason caution must be taken not to expose the token to unauthorized entities.

765 To secure a message from such threats, one of the mechanisms which support peer entity authentication with  
766 integrity and confidentiality protections (see [Section 6.2](#)) SHOULD be used in conjunction with or instead of an  
767 unprotected bearer mechanism.

768 • The sender and receiver processing rules that follow must be observed.

### 769 **6.5.1. Sender Processing Rules**

770 • The construction and decoration of the `<wsse:Security>` header element MUST adhere to the rules specified in  
771 [\[wss-sms11\]](#).

772 • The sender MUST insert the bearer token as a direct child of the `<wsse:Security>` header and this header  
773 MUST be targeted at the recipient.

### 774 **6.5.2. Recipient Processing Rules**

775 • The recipient MUST locate the `<wsse:Security>` element for which it is the SOAP target. This header MUST  
776 adhere to the syntax and processing rules specified in [\[wss-sms11\]](#).

777 • The recipient MUST locate the bearer token by locating it as a direct child of the appropriate `<wsse:Security>`  
778 header. The recipient can recognize the token by `ValueType` in the case of a Binary Security Token, or by using  
779 its well known schema type.

780 • The recipient MUST process the token in accordance with the processing rules of the token type, as indicated by  
781 its schema and namespace.

### 782 **6.5.3. Binary Security Token Bearer Tokens**

783 A bearer token MAY be a WSS Binary Security Token. The following normative requirements on the use of Binary  
784 Security Tokens as bearer tokens must be met:

785 • The `EncodingType` attribute MUST be explicitly stated to be `base64Binary`.

786 • The `ValueType` MUST be present and indicate the format of the bearer token.

787 **6.5.3.1. Custom Bearer Token Example (Informative)**

788 This example depicts a custom security token being conveyed to the relying party. For such an example to function,  
 789 the producer and consumer of the custom token must understand and follow the proper processing rules associated  
 790 with the wsse:ValueType attribute.

```

791 <?xml version="1.0" encoding="UTF-8"?>
792 <s:Envelope xmlns:s="http://schemas.xmlsoap.org/soap/envelope/"
793     xmlns:sb="urn:liberty:sb:2006-08"
794     xmlns:pp="urn:liberty:id-sis-pp:2003-08"
795     xmlns:sec="urn:liberty:security:2006-08"
796     xmlns:wsse="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecur
797 ity-secext-1.0.xsd"
798     xmlns:wsu="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-utility-
799 1.0.xsd"
800     xmlns:wsa="http://www.w3.org/2005/03/addressing">
801
802 <s:Header>
803 <!-- see Liberty SOAP Binding Specification for which headers
804     are required and optional -->
805
806 <wsa:MessageID wsu:Id="mid">...</wsa:MessageID>
807
808 <wsa:To wsu:Id="to">...</wsa:To>
809
810 <wsa:Action wsu:Id="action">...</wsa:Action>
811
812 <wsse:Security mustUnderstand="1">
813
814 <wsu:Timestamp wsu:Id="ts">
815 <wsu:Created>2005-06-17T04:49:17Z</wsu:Created >
816 </wsu:Timestamp>
817
818 <!-- Custom binary security token -->
819 <wsse:BinarySecurityToken
820     ValueType="anyNSPrefix:ServiceSessionContext"
821     EncodingType="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss
822     -soap-message-security-1.0#Base64Binary"
823     wsu:Id="bst" >
824 mQEMAzRniWkAAAEH9RWir0eKDkyFAB7PoFazz3ftp0vWwbbz qXgdcX8fpEqSrlv4
825 YqUc7OMiJcBtKBP3+jlD4HPUaurIqHA0vrDmMpm+sF2BnpND1l8f/mXCv3XbWhiL
826 xj1/M4y0CMAM/wBHT3xal7tWJwsZkDRLWxXP7wS1TXNjCtHzBL8gBKZRqNbcZlU
827 QXdpl/HIYQo5tIvCAM4pGk8nJFh6JrLsOEnT887 aJRaasvBAAQ27C7D4Dmpt01aC
828 FqLEQ98/lt6nkFmf7oiuZkID++xQXn74LWOvdNlki43VaSXWcQAJzCzi rHSuVX1N
829 QvAsufa9Vghnry5Blxe2VzwitMDwiRCS/bpbRQAFEBQmR2FyeSBGLiBFbGxpc29u
830 IDxnYXJ5LmVsbG1zb25Ac3VuLmNvbT6JARUDBRA0Z5icfpHfi79/fM0BARwaB/sG
831 YHj+fpvMgRZev/i0DyZX+s6YyMZKeJ4pVheboFP7KaP0R+VvAP0qoJk+6ITUyX2w
832 R3eqeJPMbWqmOA/EAYkYE/xcqrq2ddSg2S G43530/TTOFY+ENXtltVhBdJ79KLx
833 8fr2f9jLkjqQu2MRKpy5EdJlqmtHkQm/SGTKRz8uncs5BtmJxkAbskuSi6Ys24E
834 Pv0r97dW/uTfh7VM8+SA/hkCF6QVElUzvgpKwE poh2DZiuzvzAFqV/tINZRHGhCg
835 TNlvyz+5yYXSAY3nr8UPzNJ9QUXrsmzBGDSLpqp3GO7kL0VHN//B/5GLSVcofzpa
836 xj/JP+41N4sDJGkyCWwqiQEeBBABAgAJBQI+d0xwAhkBAAoJEPcJEJL9ultFpMgH
837 9AzI8pmuPKxv3dQcuqZ+rJRsy2YyuuSkWpj97n5PFVwBGTSAu2+2wo3uLn8 A596w
838 n4MVShtx5SC2rMKKZABJ8ObqtbbS1tQaIJmPg471qmnHja zeqbPfpPwQHzQ66cje
839 De/3QbxBD/rPXV2SiyECed0qRsbu90o3 TonrJBOP6+Hs6 jSkjGvQeJ jvutukLMN
840 A9T0d0CKN1RiEUWl4zweF7cmHJWYfC64l8pqMFLC7XrYE7pXAL2Y6pi8Ta5njGL
841 ldWryWzSDMCEun0t5wiuUYqZ+BXvy1lkp2iKm i56ioTg5UHxGJqr6oZONDwMDIhW
842 sI9v1kuHhUwZ8DziZO1i7QgR2FyeSBFBGxpc29uIDxnZmVAaW50ZX JoYWNrLm5l
843 dD6JARQDBRA+d1WR8IkQkv26W0UBAXgsB/UROD8wa yj9v7gMK3K9 Idxk/3Kl6myl
844 m0Q5mzFkXoLz6Ej3wZlpXter9oeTo2F/5tJ0k9SFNaEIfFuiPVGz9y+iDH HVKyQw
845 kDGg7YB5+fK1siebpUnIemvhmngRuzLnmbOJDpBy+UukR GJrLhDsuEXN8fpGb27d
846 ddo2odK31nr9OpRPGo/F2mkduatD28MM Pvn4RpOKw8Nx7PIIxVpNTXGgFLY2PD00
847 Dk5he7KszA3rJul9Dof0Ii9nLHlOXiHwXFX7le66vwlHCiAn wpvU8BXSeIgbKDA
848 ZzFMfUHsKyTdm09l+ByDk/ jLsGsvZ61tROSh VWSw0rC8pKa3sVmSMY0C2dmZUBz
849 dW4uY29tiQETAwQP3plwvCJEL9ultFAQGRDgfwmhqrrlACqYAr2 a2yFoexOgIz
850 NrTQvMjRwW5Eyz0Gu9KMq5iIsBIpIHCCa6LY/Y6rb0qsrP7Pu0Z082uuQAlfPrzs
  
```

```

851     i4lHsZDOeKKAiw7G3bJO+fDpkwYPhC7YFObof45Y71BWO+OBfKrMb73Zf_gYYGKIc
852     tECofkVO3fvNHNEeDIEzhvY2o783JOGbdN34P5NcLre69eLpF3KNhonLQMVx1Nmh
853     0kw15rUckRPAPy4WgKv/VQEztXSPmx9t4x3jUjc+yDtSdvTnBMwEHUU3/Pn8TICa
854     XsvFX/55u0PONTxFOi1A+0UpsCGrGpdzvlq7tRmFsF5aOP1Um79Qg1O/5060Gkdh
855     cnkgRWxsaxNvbiA8Z2Z1QHNLbi5jb20+iQEUAwUQP3pmAvCJEJL9ultFAQF1twf0
856     CAY7B8Nb74w+mYYyHS+UXCrPQR21vs5DjzuKooX7j6pJHDQqhfss24NLBvvpufZa
857     uTE27fDIx+HC0SK5cJGUTqoX/4nkMe+HM87vPcChbS31TGT+yxVjyiQ9Bie15mX2
858     QT19RkS3ZDXNux32uONDRX7dykNX6fYkKRGserWHhdXlHppmmvLodKCK/sZkkqzf
859     VT4r9ytfpXBluelOV93X8RUz4ecZcDm9e+IEG+pQjnvgrSgaclNrW5K/CJEOUUjh
860     oGTrym0Ziutezhrw/gOeLVtkywsMgDz77gWZxRvw01wlogtUdTceURBIDANj+KVZ
861     vLk1TCaGAUNJkiDDgti
862     =OuKj
863 </wsse:BinarySecurityToken>
864
865 <ds:Signature xmlns:ds="http://www.w3.org/2000/09/xmldsig#">
866     <!-- in general include a ds:Reference for each wsa: header
867         added according to SOAP binding -->
868
869     <!-- include the MessageID in the signature -->
870     <ds:Reference URI="#mid">...</ds:Reference>
871
872     <!-- include the To in the signature -->
873     <ds:Reference URI="#to">...</ds:Reference>
874
875     <!-- include the Action in the signature -->
876     <ds:Reference URI="#action">...</ds:Reference>
877
878     <!-- include the Timestamp in the signature -->
879     <ds:Reference URI="#ts">...</ds:Reference>
880
881     <!-- bind security token -->
882     <ds:Reference URI="#bst">...</ds:Reference>
883
884     <ds:Reference URI="#MsgBody">
885         <ds:DigestMethod Algorithm="http://www.w3.org/2000/09/xmldsig#sha1"/>
886         <ds:DigestValue>YgGfs0pi56pu...</ds:DigestValue>
887     </ds:Reference>
888 </ds:SignedInfo>
889     ...
890 </ds:Signature>
891
892 </wsse:Security>
893 </s:Header>
894 <s:Body wsu:Id="MsgBody">
895     <!-- payload -->
896 </s:Body>
897 </s:Envelope>
898
899

```

## 900 6.6. Identity Tokens

901 Identity Tokens are references to a principal that differ from an Authentication Token in that the Identity Token is  
902 primarily used to convey an identity while an Authentication Token conveys both the Identity and the authentication  
903 context of the user.

### 904 6.6.1. Identity Token Requirements

905 It is possible to use an Authentication token in the context where an Identity Token is needed (although the reverse is  
906 not appropriate), but there are differences that should be considered:

- 907 • Identity tokens typically are long lived since they don't authenticate a user.

908 • Identity tokens represent a handle to be used to refer to the principal when the principal is not involved in a  
909 transaction (such as when Bob attempts to view Alice's pictures – Alice may not even be logged in, but Bob may  
910 need a handle to pass to Alice's picture WSP so that the WSP knows who's pictures are being accessed).

911 Different mechanisms may be used to convey an identity token.

912 • A SAML 2.0 assertion may be used as an identity token. This usage is defined in the SecMech SAML profile  
913 [[LibertySecMech20SAML](#)].

914 • A WSS Binary Security Token may also be used as an identity token, if it has the appropriate `valueType` attribute  
915 definition.

916 • A WSS SecurityTokenReference element may also be used to reference an identity token.

917 • Other XML definitions may also be possible.

918 Any identity token SHOULD be able to convey information needed for discovery. This is typically an endpoint  
919 reference.

920 An identity token must have an attribute of type `IDType` that may be used as a target of a `ds:Reference`, e.g. an `xml:id`  
921 or `wsu:Id` attribute.

922 Normative details using SAML 2 assertions are given in the Security Mechanisms SAML profile [[Liberty-](#)  
923 [SecMech20SAML](#)].

## 924 **6.6.2. Token Policy**

925 The token policy describes the nature of the identity token to be returned upon an identity token request, generally  
926 focusing on the nature of the identifier. Details are defined in [[LibertyAuthn](#)].

927 The `<TokenPolicy>` element is of complex type **TokenPolicyType**, and contains the following attributes and  
928 elements:

929 • **validUntil** [Optional]

930 Indicates the duration for which the token is expected to be needed by the requester. The responder MAY disregard  
931 the value in favor of its own policies.

932 • **issueTo** [Optional]

933 Identifies the party to whom the identity token should be issued, if not otherwise apparent from the request or  
934 policy content.

935 • **type** [Optional]

936 Specifies the type of token expected to which the policy applies. By default this is the SAML 2 token as outlined  
937 in the Security Mechanisms SAML profile.

938 • **wantDSEPR** [Optional]

939 Specifies whether the token is expected to include a WSF 2.0 Endpoint Reference for the Discovery Service in a  
940 token returned by that Discovery Service. The default value is 'true'.

941 • **Any Attribute** [Zero or More]

942 Any attribute can be used to describe other characteristics of the desired identity token. The wildcard is necessary  
943 because of the arbitrary nature of identity tokens.

944 • **Any Element** [Zero or More]

945 Any element can be used to describe other characteristics of the desired identity token. The wildcard is necessary  
946 because of the arbitrary nature of identity tokens.

947 In the specific case of SAML-flavored identity tokens, a <samlp2:NameIDPolicy> element **SHOULD** be used.

```
948
949
950 <xs:complexType name="TokenPolicyType">
951   <xs:sequence>
952     <xs:any namespace="##any" processContents="lax" minOccurs="0"/>
953   </xs:sequence>
954   <xs:attribute name="validUntil" type="xs:dateTime" use="optional"/>
955   <xs:attribute name="issueTo" type="xs:anyURI" use="optional"/>
956   <xs:attribute name="type" type="xs:anyURI" use="optional"/>
957   <xs:attribute name="wantDSEPR" type="xs:boolean" use="optional" />
958   <xs:anyAttribute namespace="##other" processContents="lax" />
959 </xs:complexType>
960
961 <xs:element name="TokenPolicy" type="sec:TokenPolicyType"/>
962
963
```

964 **Figure 1. Element <TokenPolicy> Schema Fragment**

## 965 **7. Message Authorization Mechanisms**

966 The Message Authorization Model specifies OPTIONAL mechanisms to convey authorization and resource access  
967 information (supplied by a trusted third party) that may be necessary to access a service. This facility, incorporated  
968 for authorization purposes, serves a distinct and complementary function to the binding between subject and key that  
969 the subject accomplishes for authentication purposes. However, it is possible to optimize the processing when the  
970 message authentication mechanism utilizes the same subject confirmation key as the authorization mechanism and the  
971 key has successfully been applied to ensure the integrity and authenticity of the message payload.

### 972 **7.1. Authorization Mechanism Overview (Informative)**

973 The authorization mechanism defined by this specification formalizes the generation and conveyance of authorization  
974 information. In support of this mechanism a Trusted Third Party (TTP) may be relied upon to act as either a Policy  
975 Information Point (PIP), a Policy Decision Point (PDP) and potentially a coarse grained Policy Enforcement Point  
976 (PEP). As a PIP the authority may provide information useful in making a policy decision to the relying party. As  
977 a PDP, the Trusted Third Party may make coarse access decisions, such as during the discovery process disallowing  
978 discovery of a resource if not authorized. This requires strong assurance as to the authenticity of a peer subject. Given  
979 the reliance of authorization upon authentication, this model aids in disseminating subject confirmation obligations,  
980 identity information and access authorization data.

981 The authorization model supports the issuance of assertions that convey information regarding the resource to be  
982 accessed, the entity attempting to access the resource, the mechanism that the accessing entity must use to confirm its  
983 identity to the recipient and the ability for the sending entity to access the resource on behalf of another system entity.

984 When one provider acts on behalf of an invoker, information about both the sender and invoker may be useful for a  
985 subsequent authorization decision and may need to be conveyed with the message, including information needed to  
986 verify both identities.

### 987 **7.2. Authorization Assertion Generation**

988 The Liberty Alliance Discovery service, [[LibertyDisco](#)], is a trusted service which enables the discovery of identity-  
989 based web services. The trusted authority [[LibertyDisco](#)] may issue an assertion, subsequently used when accessing  
990 the discovered identity-based web service (the resource).

991 In addition to managing the registration and discovery of identity-based web services the trusted authority may act  
992 as a centralized policy information and decision point. The authority may issue assertions regarding authentication  
993 and authorization policies enforced for a given identity-based web service, resource and the identity of the sender.  
994 The makeup of this assertion reflects the information necessary to accommodate the authentication and authorization  
995 policy requirements.

996 Specific processing rules are provided in the SecMech SAML profile.

### 997 **7.3. Provider Chaining**

998 Provider chaining refers to scenarios in which a service provider (WSP), upon receiving a request from a sender, itself  
999 passes the request onto another service provider until the destination service provider is reached. This mechanism  
1000 allows proxying to be performed, where each provider proxies the request to the next party. An example is a browser  
1001 client accessing a portal that acts as a web service client on behalf of the browser client, accessing a web service  
1002 provider that in turn passes the request to a second web service provider. When more than two web service providers  
1003 are in the chain, information about the earlier web service providers may need to be explicitly recorded to enable the  
1004 destination web service provider to make an appropriate authorization decision, since knowledge of the sender may  
1005 not be enough information.

1006 Service providers may rely upon a security token passed with each request to make an authorization decision based on  
1007 authentication, authorization and possibly other information contained within the token. The security token is unique

1008 to the service provider that consumes it, for example the principal ultimately invoking the destination service (the  
 1009 assertion subject) is conveyed using a name identifier appropriate to the service provider.

1010 Note that the service provider itself may act as a policy decision point, or may use some other system entity as a policy  
 1011 decision point. How authorization is implemented is outside the scope of this specification, apart from the information  
 1012 conveyed in the message to enable such decisions.

1013 The security token is passed in the <wsse:Security> header in the SOAP header block, as part of the SOAP request  
 1014 to a service provider. It is obtained by the service requestor as part of the discovery operation used to determine the  
 1015 endpoint information for the web service provider to whom the request is sent. When the Discovery Service returns  
 1016 a WS-Addressing endpoint reference (EPR) as profiled in the Discovery Service specification, it includes a security  
 1017 assertion appropriate for the requestor to transmit to the web service provider. This assertion is signed by the assertion  
 1018 issuer, e.g. the Discovery Service.

1019 When two or more WSPs are transited before reaching the destination WSP, a <TransitedProviderPath>  
 1020 SHOULD be included in the security assertion by the Discovery Service. The normative details of how to do this  
 1021 using SAML 2 assertions is given in the Security Mechanisms SAML profile [[LibertySecMech20SAML](#)].

1022 The <TransitedProviderPath> SHOULD capture the identity of all but the last transited provider. For example,  
 1023 if there were three WSPs transited before reaching the final (fourth) WSP, it is only the first two that are recorded in  
 1024 the <TransitedProviderPath>. To be meaningful in making an authorization decision, the provider path MUST  
 1025 be recorded by a trusted party. In this case the trusted party is the Discovery Service that issues the token.

1026 The last transited provider need not be explicitly recorded in the <TransitedProviderPath> since it is known to  
 1027 the message recipient as the sender of the message. The identity of this last transited provider MUST be recorded in  
 1028 the assertion, however, for example as part of the SAML assertion confirmation method.

1029 The following table gives an example of the information contained in a token as it traverses a number of providers.  
 1030 This shows the system entities (A-F) where A is assumed to be a web browser client, and B-F are WSPs. B-E also act  
 1031 as WSCs and F the destination WSP.

1032

**Table 7. Transited Providers**

| <b>Party:</b>                         | <b>A</b> | <b>B</b> | <b>C</b> | <b>D</b> | <b>E</b> | <b>F</b> |
|---------------------------------------|----------|----------|----------|----------|----------|----------|
| <b>Assertion Contains:</b>            |          |          |          |          |          |          |
| subject = principal = invoker         |          | A(v)     | A(w)     | A(x)     | A(y)     | A(z)     |
| sender(assertion confirmation method) |          |          | B        | C        | D        | E        |
| Provider Chain                        |          |          |          | (B)      | (B,C)    | (B,C,D)  |

1033 Each entry of this table shows the relevant content of the assertion as received by the party at the top of that column.  
 1034 Thus, for example, WSP E receives an assertion showing that the invoker is A and that the sender is D. WSP E also  
 1035 receives a provider chain showing that providers B and C were transited before the request reached D. Note that each  
 1036 WSP may receive name identifiers that are unique to it and the sender, for example "y" instead of "A" for the invoker,  
 1037 and possibly other name identifiers for the sender and provider chain than other WSPs would receive.

1038 When a WSP receives a request and determines that it must act as a WSC to send the request to another WSP, it looks  
 1039 for a bootstrap EPR in the security token it received with the request. This EPR indicates how to reach a Discovery  
 1040 Service for finding the next Web Service Provider, and this EPR includes a security token appropriate for the WSP to  
 1041 use in making a request to the DS. The DS may have included <ProxyTransitedPath> in this token contained in  
 1042 the bootstrap EPR, or may have included other information useful to the DS to perform the next step. Information  
 1043 that the DS may include is out of scope of this specification.

1044 The WSP then sends a query to this Discovery Service using the bootstrap security token it received, placing it  
1045 in the `<wsse:Security>` header block (and providing confirmation as necessary). Upon receipt the Discovery  
1046 Service may use this security token in conjunction with the identity of the WSP indicated by the token to create a  
1047 `<ProxyTransitedPath>` (if needed) to place in the security token provided with the EPR for the next WSP.

1048 When the Discovery Service creates the security token, it will map the name identifier of the assertion subject to a  
1049 name identifier appropriate for the current WSP (soon to be WSC) and the next WSP. This is done to protect privacy.

1050 When the WSP receives the new token from the Discovery Service as part of the EPR, it sends it on to the recipient,  
1051 which may be the destination WSP or a WSP that may act as a WSC to send the request to another WSP, repeating the  
1052 process. Although the token issued by the discovery service has a name identifier for the same principal as the subject  
1053 of the original assertion, the name identifier may be changed to maintain privacy. This token also contains the revised  
1054 `<TransitedProviderPath>`. Each token is a new token, with updated Subject name identifier and path information  
1055 and with a new Discovery Service signature.

1056 When a WSP acts as a WSC to send a request to the next WSP, it is the *sender*. Again, this sender identity may be  
1057 expressed using a name identifier. The sender's identity is conveyed as part of the subject confirmation method, which  
1058 includes the name identifier for the sender. This may use various confirmation methods, including sender-vouches,  
1059 holder-of-key and bearer.

1060 When a `<TransitedProviderPath>` is used, a single `<TransitedProviderPath>` element MUST be  
1061 used to contain the information about all of the transited WSPs, in a single element. (In earlier versions  
1062 of ID-WSF, Security Mechanisms 1.2 and earlier [[LibertySecMech12](#)], the chain was expressed by a separate  
1063 `<ProxyTransitedStatement>` for each proxy transited.)

1064 When a `<TransitedProviderPath>` is included in a token, it contains `<ProviderID>` elements to indicate the  
1065 identity of each transited WSP to the recipient. Normative details are defined in the SecMech SAML profile  
1066 [[LibertySecMech20SAML](#)].

1067 When requesting a token from the assertion provider, the WSP acting as a transited provider SHOULD convey its  
1068 confirmation claim in the form of a SAML assertion carried as a security token within the security header of the  
1069 request to the assertion issuing authority when requesting a token.

1070 The final service provider may make an authorization decision based on the information presented to it in the request,  
1071 as well as information it knows. Including information about a transited WSP path may be useful to this authorization  
1072 decision.

1073 Various tokens may be used to convey provider chaining information. SAML 2.0 assertions SHOULD be used. How  
1074 SAML 2.0 assertions are to be used is outlined in the Security Mechanisms SAML profile [[LibertySecMech20SAML](#)].

## 1075 **7.3.1. Supporting Schema**

### 1076 **7.3.1.1. TransitedProviderPath Schema**

1077 The `<TransitedProviderPath>` is used to identify the WSPs that are transited, apart from the last WSP that is  
1078 transited. The intended usage of this element is to provide the authorization decision point associated with the final  
1079 service provider transited WSP path information necessary to make an authorization decision.

1080 The following schema fragment describes the structure of the `<TransitedProviderPath>` element.

```
1081
1082 <xs:complexType name="TransitedProviderPathType">
1083   <xs:sequence>
1084     <xs:element ref="sec:TransitedProvider" minOccurs="1"
1085       maxOccurs="unbounded" />
1086   </xs:sequence>
1087 </xs:complexType>
1088
```

```
1089 <xs:element name="TransitedProviderPath" type="sec:TransitedProviderPathType"/>
1090
1091
```

1092 Note that a Discovery Service may decide to carry state information elsewhere in the assertion, for example in the  
1093 Advice element of the SAML assertion. How this is done is outside the scope of this specification.

### 1094 **7.3.1.2. TransitedProvider Schema**

1095 A Discovery Service uses the <TransitedProvider> element to supply information about a single transited  
1096 provider.

1097 The following schema fragment describes the structure of the <TransitedProvider> element.

```
1098
1099 <xs:complexType name="TransitedProviderType">
1100   <xs:simpleContent>
1101     <xs:extension base="xs:anyURI">
1102       <xs:attribute name="timeStamp" type="xs:dateTime"
1103         use="optional" />
1104       <xs:attribute name="confirmationURI" type="xs:anyURI"
1105         use="optional" />
1106     </xs:extension>
1107   </xs:simpleContent>
1108 </xs:complexType>
1109
1110 <xs:element name="TransitedProvider" type="sec:TransitedProviderType" />
1111
1112
```

1113 The semantics around the <TransitedProvider> element is as follows:

- 1114 • The URI value of the <TransitedProvider> element is a URI determined by the Discovery Service. Typically  
1115 it will be a ProviderID as defined in the Discovery Service specification.
- 1116 • The OPTIONAL timestamp attribute is the time the message transited the provider. This is an approximate value  
1117 since clock synchronization should not be expected to be accurate.
- 1118 • The confirmationURI indicates the confirmation method used by the transited provider to confirm its identity to  
1119 the Discovery service when obtaining the EPR to send the request to the next WSP.

---

## 1120 **7.4. Presenting Authorization Data**

1121 Interactions with identity-based web services may rely on the conveyance of authorization information. In general,  
1122 a trusted authority issues the authorization data. In such a setting the authorization information would be sent along  
1123 with the identity-based web service request to the recipient. See [Authorization Assertion Generation \(Section 7.2\)](#) for  
1124 details as to how this data is acquired and formulated.

### 1125 **7.4.1. Processing Rules**

1126 • The sender **MUST** authenticate to the recipient using one of the authentication mechanisms described in [Message](#)  
1127 [Authentication and Integrity \(Section 6.3\)](#).

1128 It is **RECOMMENDED** that the sender authenticate using SAML assertion message authentication and specifically  
1129 conform to the processing rules specified in the SecMech SAML profile.

## 1130 **7.5. Consuming Authorization Data**

1131 A recipient that exposes a resource typically makes access control decisions based on the invocation identity.  
1132 Additionally the recipient may also predicate access control policies upon the sender identity. The semantics of  
1133 resource access authorization are described in [Presenting Authorization Data \(Section 7.4\)](#).

1134 Additional details related to the use of SAML 2.0 assertions are presented in the SecMech SAML profile.

### 1135 **7.5.1. Processing Rules**

1136 • The recipient **MUST** authenticate the sender using one of the mechanisms described in [Authentication and Integrity](#)  
1137 [Mechanisms](#).

1138 Additional processing rules specific to the use of SAML 2.0 assertions are presented in the SecMech SAML profile.

## 1139 8. Schema

```
1140 <?xml version="1.0" encoding="UTF-8"?>
1141
1142 <xs:schema targetNamespace="urn:liberty:security:2006-08"
1143     xmlns:xenc="http://www.w3.org/2001/04/xmenc#"
1144     xmlns:saml2="urn:oasis:names:tc:SAML:2.0:assertion"
1145     xmlns:xs="http://www.w3.org/2001/XMLSchema"
1146     xmlns:sec="urn:liberty:security:2006-08"
1147     xmlns:ds="http://www.w3.org/2000/09/xmldsig#"
1148     xmlns:wsse="http://docs.oasis-open.org/wss/2004/01/oasis-2004-
1149 01-wss-wssecurity-secext-1.0.xsd"
1150     xmlns:wsu="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecu-
1151 rity-utility-1.0.xsd"
1152     elementFormDefault="qualified"
1153     attributeFormDefault="unqualified">
1154     <xs:import namespace="urn:oasis:names:tc:SAML:2.0:assertion"
1155         schemaLocation="saml-schema-assertion-2.0.xsd" />
1156     <xs:import namespace="http://www.w3.org/2001/04/xmenc#"
1157         schemaLocation="http://www.w3.org/TR/2002/REC-xmenc-core-20021210/xenc-schema.xsd" />
1158     <xs:import namespace="http://www.w3.org/2000/09/xmldsig#"
1159         schemaLocation="http://www.w3.org/TR/2002/REC-xmldsig-core-20020212/xmldsig-
1160 core-schema.xsd" />
1161     <xs:import
1162 namespace="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-secext-1.0.xsd"
1163         schemaLocation="wss-secext-1.0.xsd" />
1164
1165     <xs:import
1166 namespace="http://docs.oasis-open.org/wss/2004/01/oasis-200401-wss-wssecurity-utility-1.0.xs-
1167 d"
1168         schemaLocation="wss-util-1.0.xsd" />
1169
1170     <xs:annotation>
1171         <xs:documentation>Liberty ID-WSF Security Mechanisms Specification XSD</xs:documentation>
1172         <xs:documentation>
1173 The source code in this XSD file was excerpted verbatim from:
1174
1175 Liberty ID-WSF Security Mechanisms Specification
1176 Version 2.0
1177 30 July, 2006
1178
1179         Copyright (c) 2006 Liberty Alliance participants, see
1180         http://www.projectliberty.org/specs/idwsf_2_0_final_copyrights.php
1181
1182     </xs:documentation>
1183 </xs:annotation>
1184
1185 <xs:complexType name="TokenPolicyType">
1186     <xs:sequence>
1187         <xs:any namespace="##any" processContents="lax" minOccurs="0" />
1188     </xs:sequence>
1189     <xs:attribute name="validUntil" type="xs:dateTime" use="optional" />
1190     <xs:attribute name="issueTo" type="xs:anyURI" use="optional" />
1191     <xs:attribute name="type" type="xs:anyURI" use="optional" />
1192     <xs:attribute name="wantDSEPR" type="xs:boolean" use="optional" />
1193     <xs:anyAttribute namespace="##other" processContents="lax" />
1194 </xs:complexType>
1195
1196 <xs:element name="TokenPolicy" type="sec:TokenPolicyType" />
1197
1198 <xs:complexType name="TransitedProviderType">
1199     <xs:simpleContent>
1200         <xs:extension base="xs:anyURI">
1201             <xs:attribute name="timeStamp" type="xs:dateTime"
1202                 use="optional" />
1203             <xs:attribute name="confirmationURI" type="xs:anyURI"
1204                 use="optional" />

```

```
1205     </xs:extension>
1206   </xs:simpleContent>
1207 </xs:complexType>
1208
1209 <xs:element name="TransitedProvider" type="sec:TransitedProviderType" />
1210
1211 <xs:complexType name="TransitedProviderPathType">
1212   <xs:sequence>
1213     <xs:element ref="sec:TransitedProvider" minOccurs="1"
1214       maxOccurs="unbounded" />
1215   </xs:sequence>
1216 </xs:complexType>
1217
1218 <xs:element name="TransitedProviderPath" type="sec:TransitedProviderPathType"/>
1219 <!--
1220 TokenType can refer to an external token using the ref attribute (no
1221 element content) or contain a Web Services Security token, or a WSS
1222 Security Token Reference (STR) element
1223 -->
1224
1225 <xs:complexType name="TokenType">
1226   <xs:sequence>
1227     <xs:any namespace="##any" processContents="lax"
1228       minOccurs="0" maxOccurs="unbounded" />
1229   </xs:sequence>
1230   <xs:attribute name="id" type="xs:ID" use="optional" />
1231   <xs:attribute name="ref" type="xs:anyURI" use="optional" />
1232   <xs:attribute name="usage" type="xs:anyURI" use="optional" />
1233 </xs:complexType>
1234
1235 <xs:element name="Token" type="sec:TokenType" />
1236
1237 </xs:schema>
1238
```

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